

# Cormen Chapter 5

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## 5.1 The hiring problem

Setup:

- there are  $n$  candidates for a job
- you interview them one by one
- after interviewing the  $i$ 'th candidate you must decide immediately whether you will hire person  $i$
- If you had previously hired a candidate  $j$ ,  $j < i$  and want to hire candidate  $i$  you must fire candidate  $j$  again

Goal: hire the best candidate while spending as little money on the process

- We can ignore the cost of interviewing as we must interview all candidates.
- Assume it costs  $c_h$  to hire a person (no matter whether we later fire the person again)
- Then we want to minimize  $m \cdot c_h$  when  $m$  is the # of persons we hire

Worst case: we hire all so cost  $n \cdot c_h$

This will happen if  $q_1 < q_2 < \dots < q_n$  when  $q_i$  is the quality of the  $i$ 'th candidate

How do we avoid this?

Randomize the order in which we interview the candidates

Worst case still the same but what about the expected cost?

Let  $a_1, a_2, \dots, a_n$  be a random permutation of the candidates

$$X_i = \begin{cases} 1 & \text{if we hire candidate } i \\ 0 & \text{else} \end{cases}$$

$$X = \sum_{i=1}^n X_i \quad \text{is the number of candidates we hire}$$

Cost of process is  $X \cdot c_h$

When will we hire candidate  $i$ ?

If this is the best we have seen

$$\text{so far so } p(X_i=1) = \frac{1}{i}$$

$$E(X) = E\left(\sum_{i=1}^n X_i\right)$$

$$= \sum_{i=1}^n E(X_i)$$

$$= \sum_{i=1}^n p(X_i=1)$$

$$= \sum_{i=1}^n \frac{1}{i}$$

$$= \ln n + O(1)$$

So  $E(X) \in O(\ln n)$

New problem:

How to construct a random permutation?

# Corollary 5.3

Permute by sorting(A)

1.  $n \leftarrow |A|$
2. For  $i \in 1$  to  $n$  do
3.      $P(i) \leftarrow \text{Random}(1, n^3)$
4. sort A using P as keys
5. return A

Lemma  $P(P(i) \neq P(j) \forall 1 \leq i < j \leq n) \geq 1 - \frac{1}{n}$

P:  $A_{ij}$  is the event that  $P(i) = P(j)$

$$P(A_{ij}) = \frac{1}{n^3}$$

$$A = \bigcup_{1 \leq i < j \leq n} A_{ij}$$

$$\begin{aligned} P(A) &= P\left(\bigcup_{1 \leq i < j \leq n} A_{ij}\right) \leq \sum_{1 \leq i < j \leq n} P(A_{ij}) \\ &= \sum_{1 \leq i < j \leq n} \frac{1}{n^3} = \frac{\binom{n}{2}}{n^3} < \frac{1}{n} \end{aligned}$$

Lemma 5.4 Permute by sorting produces a uniform random permutation when all the priorities  $P(1), P(2), \dots, P(n)$  are distinct.

P: suppose first that  $P(1) < P(2) < \dots < P(n)$

We want to show that the permutation  $1\ 2\ 3\ \dots\ n$  occurs with probability  $\frac{1}{n!}$ .

For  $i=1, 2, \dots, n$  let  $X_i$  be the event that  $A[i]$  receives the  $i$ 'th smallest  $P$ -value

Then we seek  $p(X_1 \wedge X_2 \wedge \dots \wedge X_n)$

$$p(X_1 \wedge X_2 \wedge \dots \wedge X_n) = p(X_1) p(X_2 | X_1) \cdot p(X_3 | X_1 \wedge X_2) \cdot \dots \cdot p(X_n | X_1 \wedge \dots \wedge X_{n-1})$$

$$p(X_1) = \frac{1}{n}, \quad p(X_2 | X_1) = \frac{1}{n-1}$$

$$p(X_{i+1} | X_1 \wedge \dots \wedge X_i) = \frac{1}{n-i}$$

Hence

$$p(X_1, \dots, X_n) = p(X_1) \cdot p(X_2 | X_1) \cdot \dots \cdot p(X_n | X_1, \dots, X_{n-1}) \\ = \frac{1}{n} \cdot \frac{1}{n-1} \cdot \dots \cdot \frac{1}{2} \cdot \frac{1}{1} = \frac{1}{n!}$$

Same argument works for an arbitrary permutation  $\sigma(1)\sigma(2)\dots\sigma(n)$  of  $1, 2, \dots, n$ :

- The element with index  $\sigma(i)$  has probability  $\frac{1}{n}$  of receiving the lowest P-value
  - The element with index  $\sigma(i)$  has probability  $\frac{1}{n-i+1}$  of receiving the  $i$ 'th lowest P-value
- etc □.

Permute by sorting rows in time  $O(n \log n)$   
but only works if all P-values are distinct  
otherwise we must repeat the algorithm

Expected # of repetitions is at most

$$\frac{1}{1 - \frac{1}{n}} = \frac{1}{\frac{n-1}{n}} = \frac{n}{n-1} \leq 2$$

Can we do better?

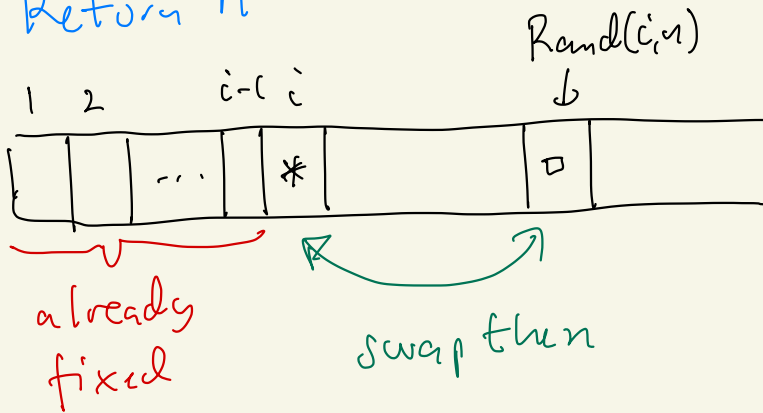
Randomize in place (A)

$n \leftarrow |A|$

For  $i \leftarrow 1$  to  $n$  do

swap  $A[i]$  with  $A[\text{Random}(i, n)]$

Return A



Definition A  $k$ -permutation of an  $n$ -set  $A$  is any ordered  $k$ -subset of  $A$

Then are  $P(n, k) = \frac{n!}{(n-k)!}$  of the



## Lemma 5.5 Randomize in place produces a random permutation

P: We prove the following loop invariant

(I) just before iteration  $i$  of the for loop every  $(i-1)$ -permutation of  $A$  has probability

$$\frac{(n-i+1)!}{n!}$$

of being the elements in  $A[1, \dots, i-1]$  in that order.

We start with  $i=1$  and the only possible 0-permutation is  $\emptyset$  and it has probability 1 of forming the first 0 elements of  $A$

Can also take  $i=2$  as can see as every element has probability  $\frac{1}{n}$  of landing in position 1 of  $A$

## Maintaining (I):

(\*) assume that after iteration  $i-1$  each  $(i-1)$ -permutation appears as the elements in  $A[1, 2, \dots, i-1]$  in that order with probability  $\frac{(n-i+1)!}{n!}$

Consider a particular  $i$ -permutation  $\langle x_1, x_2, \dots, x_i \rangle$  and define events  $E_1$  and  $E_2$ .

$E_1$ :  $A[1, 2, \dots, i-1] = \langle x_1, x_2, \dots, x_{i-1} \rangle$  after  $i-1$  steps

$E_2$ : in  $i$ th iteration  $A[i]$  becomes equal to  $x_i$

By (\*) we get  $p(E_1) = \frac{(n-i+1)!}{n!}$  and

$p(E_2|E_1) = \frac{1}{n-i+1}$  (there are  $n-i+1$  choices for  $A[i]$ )

$$\begin{aligned} \text{so } p(E_1 \cap E_2) &= p(E_2|E_1) \cdot p(E_1) \\ &= \frac{(n-i+1)!}{n!} \cdot \frac{1}{n-i+1} = \frac{(n-i)!}{n!} \end{aligned}$$

so (I) holds after  $i$  steps if it holds after  $i-1$  steps

Termination  $i = n+1$

(I)  $\Rightarrow A[1, 2, \dots, n] = \langle x_1, x_2, \dots, x_n \rangle$  for fixed permutation  $\langle x_1, x_2, \dots, x_n \rangle$  with probability

$$\frac{(n-n)!}{n!} = \frac{0!}{n!} = \frac{1}{n!}$$

□.