

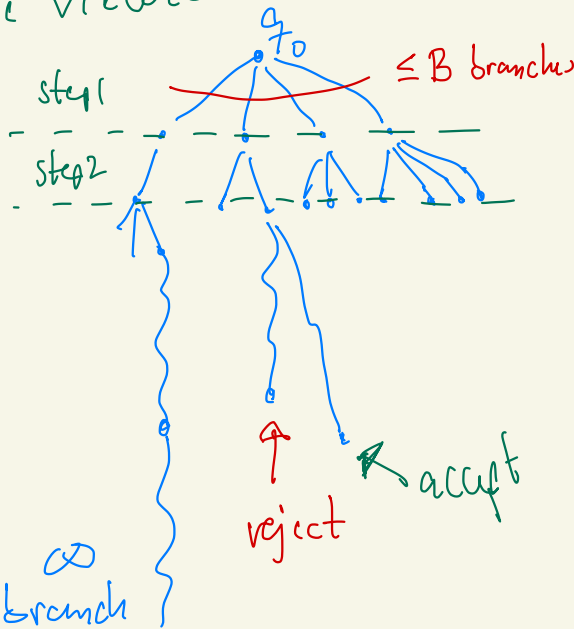
# Variants of Turing machines part 2

## Non-deterministic TM (NDTM)

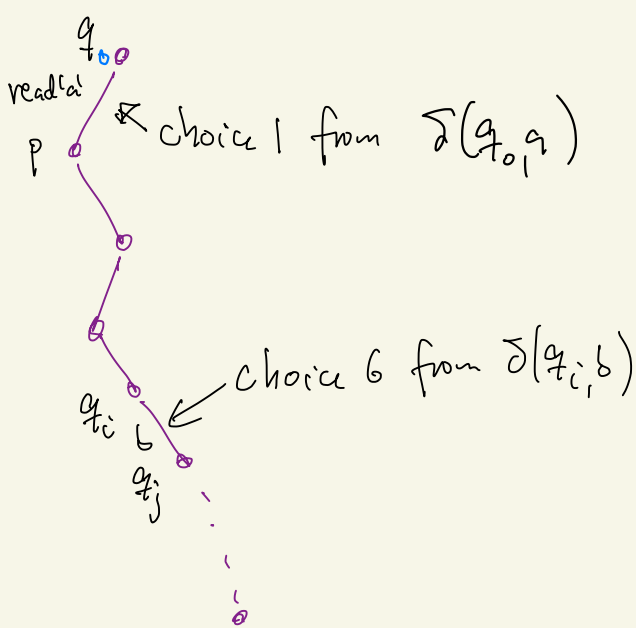
$$\delta : Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R, S\})$$

Important: each  $\delta(q, a)$  contains  
a finite number  $B$  of possible transitions  
when  $B \leq |Q| \cdot |\Gamma| \cdot 3$

The computation of a NDTM  $M$  on a string  $w$   
can be viewed as a tree



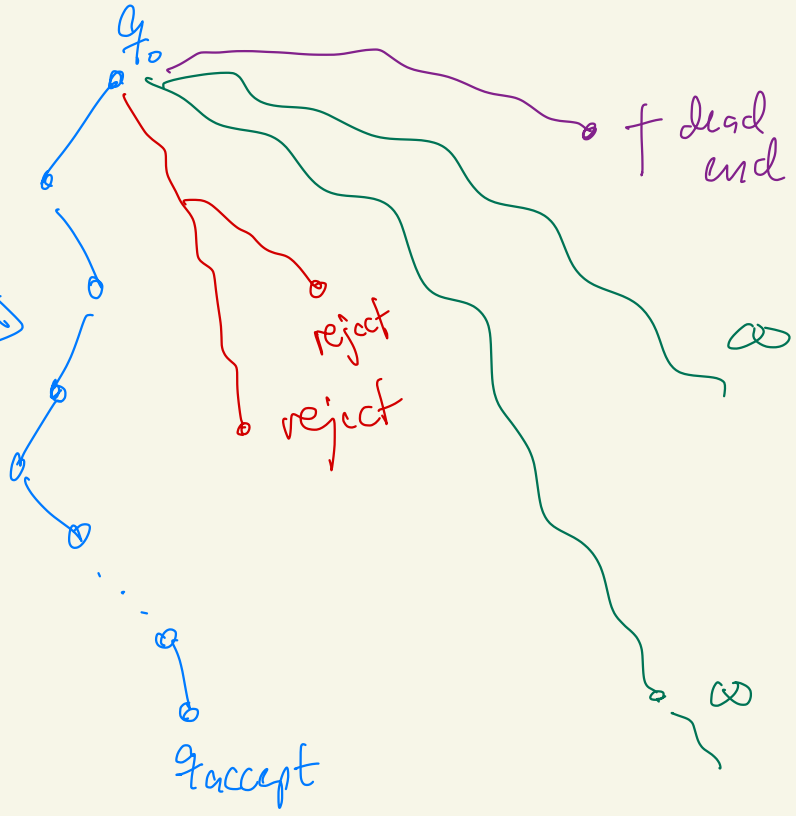
each path  $P$   
from the root  
corresponds to  
a specific choice  
for each of the  
 $|Q|$  states



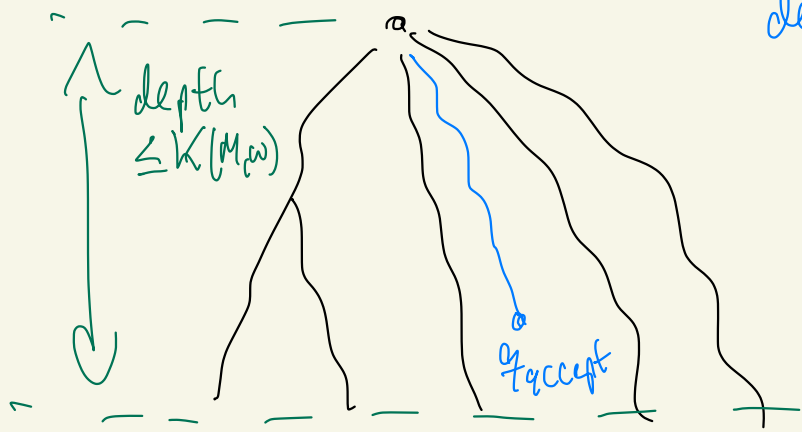
Definition The NDTM  $M$  recognizes  $L$   
 if  $L = \{w \mid \exists \text{ some computation for } M \text{ on } w$   
 leading to  $M$ 's accept state  $\}$

Definition The NDTM  $M$  decides  $L$   
 if  $\forall w \in \Sigma^*$ : 1)  $\exists k = k(M, w)$  s.t.  $M$  never  
 takes more than  $k$  steps on  $w$  (all paths from root have  
 length  $\leq k$ )  
 2)  $w \in L \Leftrightarrow M$  has at least  
 one accepting calculation on  $w$

$M$  accepts  $w$   
because  
of blue  
path



decider



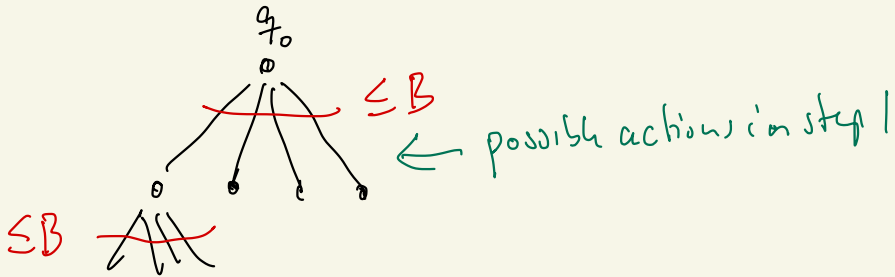
# Theorem 3.16

For every NDTM  $M$  there exists a deterministic TM  $D$  such that  
a)  $L(D) = L(M)$  and b)  $D$  decides  $L \Leftrightarrow M$  decides  $L$

proof idea: Simulate  $M$ 's possible calculations on  $w$  in a Breadth-First manner

(DFS strategy does not work, due to possible  $\infty$ -paths in computation tree)

Recall that  $S_M(q_i, a)$  has at most  $B$  values (of the form  $(p, b, \gamma)$ )



$$\text{let } B = \max\{|\delta(q, a)| \mid q \in Q, a \in \Gamma\}$$

So  $B$  is the maximum # of different transitions  $M$  has from any state on any symbol

Assumption: Every  $\delta(q, a)$  has exactly  $B$  transitions or none at all

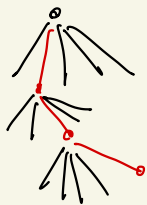
Can achieve this by adding copies of one of the transitions

$$B=4 \quad \delta(q, a) = \{(q', b, R), (p, c, L)\}$$

↓

$$\delta(q, a) = \{(q', b, R), (p, c, L), (p, c, L), (p, c, L)\}$$

Now we can describe  $M$ 's calculations via strings of numbers in base  $B+1$  (no zero's)



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For a given strings  $g_1, g_2, \dots, g_k$   $g_i \in \{1, 2, \dots, B\}$

We can simulate the corresponding computation of  $M$  by

taking the  $g_i$ th choice in step  $i$

Conclusion: If we can implement the simulation above via a DTM  $D$ , then  $D$  satisfies a) and b) in Theorem 3.16  
In particular  $L(D) = L(M)$

How to generate numbers like 237 and in which order?

Use lexicographic ordering on strings of the same length  $237 < 239$

and  $237 < 117$  as left part is shorter

Simple way to keep track of numbers and generate next

#00#000#00000#  $B=6$   
2 3 5

Next is

#00#000#00000# 236

# The Turing machine D:

3 tapes      #  $w_1 w_2 \dots w_n$   
                 # M's calculation on  $w$   
                 # number bar B+1

eg            #  $w_1 w_2 \dots w_n$   
                 # simulate M for 3 steps according to  
                 # 235 ←

NB: If the current configuration that M would be in has no transition on for the state M is in on the current symbol it reads, then stop simulating according to the current string on tape 3

Also: if the simulation leads to M accepting then D will accept

Formally

1.  $\triangleright w_1 w_2 \dots w_n$

$\triangleright \perp$

$\triangleright \#0\#$

2. Copy tape 1 to tape 2

3. Simulate  $M$  on tape 2 using the numbers on tape 3 to select next transition until either

- dead end = no transition on  $(q, a)$
- $M$  accepts  $\Rightarrow D$  accepts (and stops)
- $M$  rejects
- no more digits on tape 3

4. replace  $d_1 d_2 \dots d_r$  on tape 3 by next in lexicographic order

5. Go to 2

How long does this simulation take?

Assume  $M$  runs for  $r$  steps, then  $D$

simulates at most

$$B + B^2 + \dots + B^r \leq B^{r+1} \text{ steps of } M$$

Exponential time!



Open question: can we make a det TM  $D$  satisfying a) and b) in Thm 3.16 such that the running time of  $D$  is

$O(r^c)$  for some constant  $c$  when  $M$  runs in time  $O(r)$

$P = NP$  question later in the course

Corollary of Thm 3.16

$L$  is Turing recognizable/decidable



$L$  is recognized/decided  
by some NDTM