# A Survey on Advice and Randomization for the Knapsack Problem 

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$$

## Online Problems and Advice Complexity Introduction

## Online Problem

- Sequence of requests
- Answer each request before the next one arrives
- Minimize cost / maximize gain
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If optimum is constant, $\alpha=0$.

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## Motivation

- Theoretical interest: Deeper understanding of the problems
- "Essence" of the problem
- Bounds for randomization


## The Model

Computation with Advice
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## Analysis

- Solution: (oracle, algorithm)
- Correctness: the pair works correctly on all inputs
- Advice complexity: Maximal advice over all inputs of length $\leq n$

The Knapsack Problem
Introduction

## Definition (KP)

Given a knapsack of weight capacity $1, n$ objects arrive in successive time steps where each object has

- a weight $w_{i} \leq 1$
- a value $v_{i}$

Maximize value of packed objects without exceeding capacity

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In its simple version (SKP), $w_{i}=v_{i}$, for every object $i$

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The Simple Knapsack Problem Advice for Optimality

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\end{aligned}
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- This bound is tight. In other words, ...


## Theorem

Any online algorithm with advice for the SKP needs to use at least $n-1$ advice bits to be optimal.

## The Simple Knapsack Problem

 Small Advice
## Observation

- If sum of weights $\leq 1$, greedy is optimal
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- Oracle writes 1 on tape iff one object has size $>1 / 2$
- Consider ALG that reads one bit $b$ of advice

If $\mathbf{b}=0$ : satisfy greedily
If $\mathbf{b}=1$ : wait for object of size $>1 / 2$

The Simple Knapsack Problem - Small Advice
Case 1: $b=0$

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ALG
OPT

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ALG
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- Compute bound $t$ for space filled by light objects
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However, as we have seen before, an exponential jump has to be done to be optimal instead of only "very well"


The General Knapsack Problem Small Competitive Ratio

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$\Rightarrow$ Weights are $\leq 1$, values are possibly larger
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- Asymptotically equivalent to simple version
$\Rightarrow$ Constant of $\mathcal{O}$ notation is much worse


## Advice and Randomization

## Computation with Advice

- Oracle $\leftrightarrows$ Infinite advice tape $\leftrightarrows$ Algorithm
- Oracle: Knows whole input, unlimited computational power
- Advice tape prepared before the algorithm starts
- Advice complexity $b(n)$ : Maximal number of bits read for inputs of length $\mathbf{n}$


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## Randomization

- Random source $\leftrightarrows$ Infinite random tape $\leftrightarrows$ Algorithm
- Random bit complexity $r(n)$ : Maximal number of bits read for inputs of length $\mathbf{n}$


## Randomization and Advice

- $2^{b(n)}$ algorithms or $2^{r(n)}$ algorithms
- Advice may be seen as best random string for every instance
$\leftrightarrows$ Lower bounds for advice carry over to randomization
$\leftrightarrows$ Upper bounds for randomization carry over to advice
- Small advice may lead to barely random algorithms, e. g.,
- Paging
- Job Shop Scheduling
- Knapsack


## Advice and Randomization

Barely Random Algorithm for the Simple Knapsack Problem

Guess one bit and act as with advice
$\leftrightarrows$ 4-competitive in expt. and this is tight

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## Theorem

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$\Rightarrow$ Greedy strategy optimal, second strategy gains nothing, so

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\mathrm{E}[\operatorname{comp}(\operatorname{RAND}(I))]=\frac{\operatorname{cost}(\mathrm{OPT})}{\frac{1}{2} \cdot \operatorname{cost}(\mathrm{OPT})+\frac{1}{2} \cdot 0}=2
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Suppose, they do not all fit. . .
$\Rightarrow$ Gains $x$ and $y$ of both strategies are, in the sum, $\geq 1$, so

$$
\operatorname{E}[\operatorname{comp}(\operatorname{RAND}(I))]=\frac{\operatorname{cost}(\mathrm{OPT})}{\frac{1}{2} \cdot x+\frac{1}{2} \cdot y} \leq \frac{1}{\frac{1}{2} \cdot(x+y)} \leq 2
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$$
\mathrm{E}[\operatorname{comp}(\operatorname{RAND}(I))]=\frac{\operatorname{cost}(\mathrm{OPT})}{\frac{1}{2} \cdot \operatorname{cost}(\mathrm{OPT})+\frac{1}{2} \cdot 0}=2
$$

Suppose, they do not all fit. . .
$\Rightarrow$ Gains $x$ and $y$ of both strategies are, in the sum, $\geq 1$, so

$$
\mathrm{E}[\operatorname{comp}(\operatorname{RAND}(I))]=\frac{\operatorname{cost}(\mathrm{OPT})}{\frac{1}{2} \cdot x+\frac{1}{2} \cdot y} \leq \frac{1}{\frac{1}{2} \cdot(x+y)} \leq 2
$$

## Theorem

This is the best you can do for the SKP in randomized online computation.

## Conclusion

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## General Knapsack Problem

- Not competitive with sub-logarithmic advice
- $(1+\varepsilon)$-competitive with logarithmic advice, $\varepsilon>0$
- Randomization does not help

Thank you for your attention!

