#### **Online Colored Bin Packing**

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#### Trends in Online Algorithms 2014, July 7



Image: A matrix and a matrix

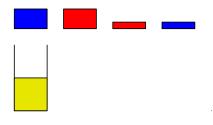
#### • Bin Packing

- Input: items of sizes in [0,1]
- Goal: pack items into the minimum number of unit capacity bins

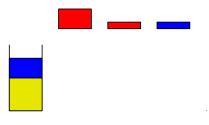
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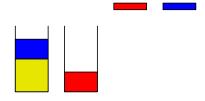
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  - Input: items of sizes in [0,1]
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- Colored Bin Packing
  - Each item has a color
  - Two items of the same color cannot be one on the other
  - Defined by [Balogh et al. '12] for two colors as BLACK AND WHITE BIN PACKING



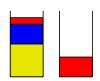
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## Offline vs. restricted offline settings

#### • Offline

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- We can pack in any order

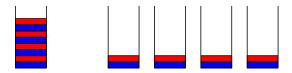
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# Offline vs. restricted offline settings

#### • Offline

- Items are given in advance
- We can pack in any order
- Restricted offline
  - Items are given as a sequence
  - We have to pack them in the given order
  - Optimum can differ from the unrestricted offline case:
    - *n* blue and then *n* red, all of size zero



### Competitive ratio of an online algorithm

- For an input list of items L:
  - ALG(L) = # of bins used by ALG
  - *OPT*(*L*) = restricted offline optimum
- ALG is absolutely r-competitive if:
  - for any L it holds  $ALG(L) \leq r \cdot OPT(L)$

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  - $ALG(L) \leq r \cdot OPT(L) + o(OPT(L))$

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- ALG has the competitive ratio r if
  - it is *r*-competitive
  - it is not r'-competitive for r' < r

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- Level of a bin = cumulative size of all items in the bin
- *c*-item = an item of color *c*
- *c*-bin = a bin with a *c*-item on the top
- Example: red bin:



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## Lower bound on the restricted offline optimum

- Sum of items sizes LB<sub>1</sub>
- Maximal color discrepancy LB<sub>2</sub>
  - $\bullet~$  10 white, 2 red and 10 white must be packed into  $\geq$  18 bins

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## Lower bound on the restricted offline optimum

- Sum of items sizes LB<sub>1</sub>
- Maximal color discrepancy LB<sub>2</sub>
  - 10 white, 2 red and 10 white must be packed into  $\geq$  18 bins
  - Discrepancy for a color *c* on an interval of the input sequence:

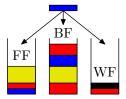
# of c-items-# of items of other colors

# Any Fit algorithms

• Opens a bin if it is really necessary

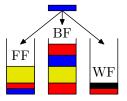
## Any Fit algorithms

- Opens a bin if it is really necessary
- Main variants:
  - First Fit (FF): chooses the *first* bin in which an incoming item fits
  - Best Fit (BF): chooses the bin with the highest level
  - Worst Fit (WF): chooses the bin with the *lowest* level



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• We study both general and parametric cases

• Parametric case: for a real  $d \ge 2$  the items have size at most  $\frac{1}{d}$ 

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## Black and White Bin Packing

- [Balogh et al. '12 and '13], [Dósa and Epstein '14]
  - Lower bound of 2 on competitiveness of all online algorithms

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# Black and White Bin Packing

• [Balogh et al. '12 and '13], [Dósa and Epstein '14]

- Lower bound of 2 on competitiveness of all online algorithms
- Competitiveness of algorithms previous results:

Algorithm	Lower bound	Upper bound
First Fit	3	5
Best Fit	3	5
Worst Fit [parametric case]	$3 \left[1 + \frac{d}{d-1}\right]$	5
Pseudo [parametric case]	$3 \left[1 + \frac{d}{d-1}\right]$	$3 \left[1 + \frac{d}{d-1}\right]$

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## Black and White Bin Packing

• [Balogh et al. '12 and '13], [Dósa and Epstein '14]

- Lower bound of 2 on competitiveness of all online algorithms
- Competitiveness of algorithms previous and our results:

Algorithm	Lower bound	Upper bound
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Pseudo [parametric case]	$3 \left[1 + \frac{d}{d-1}\right]$	$3 \left[1 + \frac{d}{d-1}\right]$

Our results

- Any Fit algorithms are absolutely 3-competitive
- Worst Fit for items of size  $\leq \frac{1}{d}$  has ratio exactly  $1 + \frac{d}{d-1}$

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• [Dósa and Epstein '14] independently of us

- Lower bound of 2 on competitiveness of all online algorithms
- For zero-size items
  - Asymptotic lower bound 1.5
  - 2-competitive algorithm
- 4-competitive algorithm for items of any size

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• [Dósa and Epstein '14] independently of us

- Lower bound of 2 on competitiveness of all online algorithms
- For zero-size items
  - Asymptotic lower bound 1.5
  - 2-competitive algorithm
- 4-competitive algorithm for items of any size
- Our results
  - For zero-size items
    - Restricted offline optimum = maximal color discrepancy

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  - For zero-size items
    - Restricted offline optimum = maximal color discrepancy
    - Optimal 1.5-competitive algorithm uses at most [1.5 · OPT] bins
    - Lower bound of  $\left\lceil 1.5 \cdot \textit{OPT} \right\rceil$  for all online algorithms
  - 3.5-competitive algorithm for items of any size

•  $(1.5 + \frac{d}{d-1})$ -competitive in the parametric case

- Let *n* be the optimum
- The adversary sends the instance in phases
- In each phase:
  - # of black bins increases,
  - or we get  $\lceil 1.5 \cdot n \rceil$  bins
- Example for *n* = 4:

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• A little bit more complicated for an odd n to get  $\lceil 1.5 \cdot n \rceil$  bins

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- Balancing Any Fit (BAF)
- Uses at most  $\lceil 1.5 \cdot OPT \rceil$  bins
- $N_c = \#$  of *c*-bins
- Current discrepancy of a color c
  - $CD_c = \max$ . discrepancy on an interval ending just before the incoming item

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  - $N_c \leq CD_c + \lceil 0.5 \cdot OPT \rceil$
- BAF mostly puts an incoming *c*-item into a bin of the most frequent other color

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  - $CD_c = \max$ . discrepancy on an interval ending just before the incoming item
- Main invariant for a color c

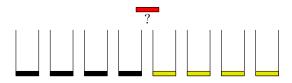
•  $N_c \leq CD_c + \lceil 0.5 \cdot OPT \rceil$ 

- BAF mostly puts an incoming *c*-item into a bin of the most frequent other color
  - Exception: two colors have more than  $[0.5 \cdot OPT]$  bins

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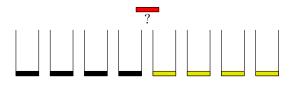
## BAF: two colors have more than $[0.5 \cdot OPT]$ bins

- Let these colors be black and yellow
- Example with OPT = 5 and  $\lceil 1.5 \cdot OPT \rceil = 8$ 
  - Suppose that  $\textit{CD}_{\rm black}=1$  and  $\textit{CD}_{\rm yellow}=1$
  - Thus  $N_{
    m b} = CD_{
    m b} + \lceil 0.5 \cdot OPT \rceil$  and  $N_{
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- We need to prove that
  - $N_{
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    m b} + \left\lceil 0.5 \cdot OPT \right\rceil$ ,
  - or  $\mathit{N}_{\mathrm{y}} < \mathit{CD}_{\mathrm{y}} + \left\lceil 0.5 \cdot \mathit{OPT} 
    ight
    ceil$

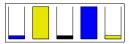
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  - $\bullet~$  Uses pseudo bins = bins of unlimited capacity
    - Divides them into unit capacity bins

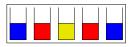
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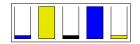
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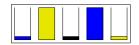




- Proof of 3.5-competitiveness
  - We pair all bins except one in each pseudo bin
    - Each pair has total volume of more than 1
    - # of paired bins is at most  $2\cdot \textit{OPT}-1$
  - $\# \mbox{ of non-paired bins} \leq \# \mbox{ of pseudo bins}$ 
    - BAF uses at most  $\lceil 1.5 \cdot \textit{OPT} \rceil$  bins
  - Altogether at most 3.5 · OPT bins

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  - Altogether at most  $3.5 \cdot OPT$  bins
- In the parametric case  $(1.5 + \frac{d}{d-1})$ -competitive

#### Worst Fit in the parametric case for two colors

- Worst Fit is  $(1 + \frac{d}{d-1})$ -competitive
  - If all items have size  $\leq \frac{1}{d}$  for a real  $d \geq 2$
- Idea of the proof:
  - Big bins = bins with level  $\geq \frac{d-1}{d}$ 
    - # of big bins is at most  $\frac{d}{d-1} \cdot LB_1$
  - Small bins = bins with level  $< \frac{d-1}{d}$
  - We bound # of small bins from above by  $LB_2$

# Any Fit algorithms for two colors

- Any algorithm in the Any Fit family is absolutely 3-competitive
  - Similar proof, but more complicated
  - Big bins have level  $\geq 0.5$  and small bins < 0.5
  - # of small bins cannot be bounded by color discrepancy  $LB_2$

- Any algorithm in the Any Fit family is absolutely 3-competitive
  - Similar proof, but more complicated
  - Big bins have level  $\geq 0.5$  and small bins < 0.5
  - # of small bins cannot be bounded by color discrepancy  $LB_2$
  - We assign bins into chains
    - $\bullet~$  Sequences of bins where the average level is  $\geq 0.5$
  - We bound the number of bins not in chains by  $LB_2$

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### Conclusions

- For at least three colors
  - $\bullet$  We have solved  $\operatorname{Colored}$  Bin  $\operatorname{Packing}$  for zero-size items
  - For items of any size we have 3.5-competitive algorithm
  - We have recently improved the lower bound to 2.5
- For two colors
  - We improved the upper bound on competitiveness of Any Fit algorithms
    - Tight for First Fit, Best Fit and Worst Fit

- Design a better than 3.5-competitive algorithm
- Or improve the lower bound of 2.5
- Prove that no Any Fit algorithm can be better than 3-competitive for two colors

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- Design a better than 3.5-competitive algorithm
- Or improve the lower bound of 2.5
- Prove that no Any Fit algorithm can be better than 3-competitive for two colors
  - Or find a better one

# Thank you for your attention