

DM841  
Discrete Optimization

Lecture 13  
Examples

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## 1. GCP

Preprocessing

Construction Heuristics

Local Search Modelling

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# Preprocessing rules

Polynomial time reduction of the graph  $G$  to  $G'$  such that given a feasible  $k$ -coloring for  $G'$  it is straightforward to derive a feasible  $k$ -coloring for  $G$ .

## Searching for a $k$ -coloring ( $k$ fixed)

- ▶ Remove under-constrained nodes:  $v \in V, d(v) < k$
- ▶ Remove subsumed nodes:  $v \in V$ , if  $\exists u \in V, uv \notin E, A(v) \subseteq A(u)$
- ▶ Merge nodes that must have the same color: eg, if any nodes are fully connected to a clique of size  $k - 1$ , then these nodes can be merged into a single node with all the constraints of its constituents, because they must have the same color.

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## ▶ sequential heuristics

1. choose a **variable** (vertex)
  - a) static order: random (ROS),  
largest degree first, smallest degree last
  - b) dynamic order: saturation degree (DSATUR) [Brélaz, 1979]
2. choose a **value** (color): greedy heuristic

### Procedure ROS

```
RandomPermutation  $\pi$ (Vertices);  
forall the  $i$  in  $1, \dots, n$  do  
     $v := \pi(i)$ ;  
    select min{ $c : c$  not in saturated[ $v$ ]};  
    col[ $v$ ] :=  $c$ ;  
    add  $c$  in saturated[ $w$ ] for all  $w$  adjacent  $v$ ;
```

$$\mathcal{O}(nk + m) \rightsquigarrow \mathcal{O}(n^2)$$

### Procedure DSATUR

```
select vertex  $v$  uncolored with max degree;  
while uncolored vertices do  
    select min{ $c : c$  not in saturated[ $v$ ]};  
    col[ $v$ ] :=  $c$ ;  
    add  $c$  in saturated[ $w$ ] for all  $w$  adjacent  $v$ ;  
    select uncolored  $v$  with max size of  
        saturated[ $v$ ];
```

$$\mathcal{O}(n(n + k) + m) \rightsquigarrow \mathcal{O}(n^2)$$

## ▶ partitioning heuristics

- ▶ **recursive largest first (RLF)** [Leighton, 1979]  
iteratively extract stable sets

**Procedure** Recursive Largest First( $G$ )

**In**  $G = (V, E)$  : input graph;

**Out**  $k$  : upper bound on  $\chi(G)$ ;

**Out**  $c$  : a coloring  $c : V \mapsto K$  of  $G$ ;

$k \leftarrow 0$

**while**  $|V| > 0$  **do**

$k \leftarrow k + 1$

    FindStableSet( $V, E, k$ )

**return**  $k$

/\* Use an additional color \*/  
 /\*  $G = (V, E)$  is reduced \*/

Key idea: extract stable sets trying to maximize edges removed.

**Procedure** FindStableSet( $G, k$ )

**In**  $G = (V, E)$  : input graph

**In**  $k$  : color for current stable set

**Var**  $P$  : set of potential vertices for stable set

**Var**  $U$  : set of vertices that cannot go in current stable set

$P \leftarrow V$ ;  $U \leftarrow \emptyset$ ;

**forall** the  $v \in P$  **do**  $d_U(v) \leftarrow 0$ ; /\* degree induced by  $U$  \*/

**while**  $P$  not empty **do**

**select**  $v$  in  $P$  with **max**  $d_U$ ;

    move  $v$  from  $P$  to  $C_k$ ;  $V \leftarrow V \setminus \{v\}$

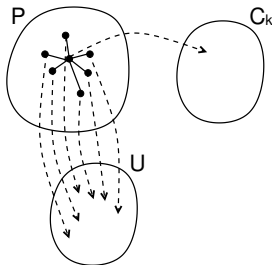
**forall** the  $w \in \delta_P(v)$  **do** /\* neighbors of  $v$  in  $P$  \*/

        move  $w$  from  $P$  to  $U$ ;  $E \leftarrow E \setminus \{v, w\}$

**forall** the  $u \in \delta_P(w)$  **do**

$d_U(u) \leftarrow d_U(u) + 1$

$$\mathcal{O}(m + n\Delta^2) \rightsquigarrow \mathcal{O}(n^3)$$





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# Local Search for Graph coloring

Different choices for the [candidate solutions](#):

decision vs optimization	assignment of colors to V	level of feasibility	Performance
<i>k</i> -fixed	complete	proper	
<i>k</i> -fixed	partial	proper	+++
<i>k</i> -fixed	complete	improper	+++
<i>k</i> -fixed	partial	improper	-
<i>k</i> -variable	complete	proper	++
<i>k</i> -variable	partial	proper	-
<i>k</i> -variable	complete	improper	++
<i>k</i> -variable	partial	improper	-

imply different [neighborhood structures](#) and [evaluation functions](#).

Scheme:  $k$ -fixed / complete / improper

## Local Search

- ▶ Solution representation: `var{int} a[|V|](1..K)`
- ▶ Evaluation function: conflicting edges or conflicting vertices
- ▶ Neighborhood: one-exchange

Naive approach:  $O(n^2k)$

Neighborhood examination

**for** all  $v \in V$  **do**

┌ **for** all  $k \in 1..k$  **do**  
└ ┌ compute  $\Delta(v, k)$

Better approach:

- ▶  $V^c$  set of vertices involved in a conflict
- ▶  $\Delta(v, k)$  stores number of vertices adjacent to  $v$  in each color class  $k$

**Procedure** Initialise\_ $\Delta(G, a)$

$\Delta = 0$

**for** each  $v$  in  $V$  **do**

┌ **for** each  $u$  in  $A_V(v)$  **do**  
└  $\Delta(u, a(v)) = \Delta(u, a(v)) + 1$

**Procedure** Examine( $G, N(a)$ )

**for** each  $v$  in  $V^c$  **do**

┌ **for** each  $k \in \Gamma$  **do**  
└ compute  $\Delta(v, k) = \Delta(v, k) - \Delta(v, a(v))$

**Procedure** Update\_ $\Delta(G, a, v, k)$

**for** each  $u$  in  $A_V(v)$  **do**

┌  $\Delta(u, a(v)) = \Delta(u, a(v)) - 1$   
└  $\Delta(u, k) = \Delta(u, k) + 1$

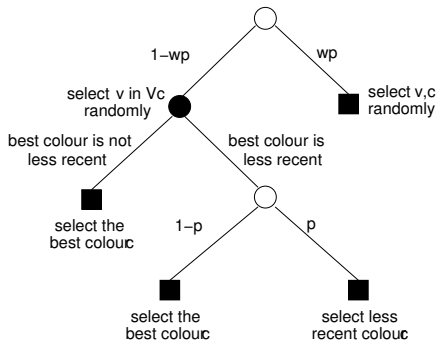
# Comet examples

Tabu Search

GCP

```
./coloring.co
```

# Randomized Iterative Improvement



# Guided Local Search

- ▶ evaluation function:  $f'(s) = f(s) + \lambda \cdot \sum_{i=1}^{|E|} w_i \cdot I_i(s)$   
 $w_i$  is the penalty cost associated to edge  $i$ ;  
 $I_i(s)$  is an indicator function that takes the value 1 if edge  $i$  causes a colour conflict in  $s$  and 0 otherwise;  
 parameter  $\lambda$
- ▶ penalty weights are initialised to 0
- ▶ updated each time Iterative Improvement reaches a local optimum in  $f'$ ;  
 increment the penalties of all edges with maximal utility.

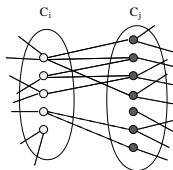
$$u_i = I_i(s) \cdot \frac{1}{1 + w_i}.$$

- ▶ once a local optimum is reached, the search continues for  $sw$  non-worsening exchanges (side walk moves) before the evaluation function  $f'$  is updated. Update of  $w_i$  and  $f'$  is done in the worst case in  $O(k|V|^2)$ .

Scheme:  $k$ -variable / complete / proper

## Local Search

- ▶ Solution representation:  $\text{var}\{\text{int}\} a[|V|](1..K)$
- ▶ Neighborhood: one-exchange restricted to feasible moves  
Kempe chains



- ▶ Evaluation function:  $f(s) = -\sum_{i=1}^k |C_i|^2$   
favours few large color classes wrt. many small color classes



Scheme:  $k$ -variable / complete / proper

## Local Search

- ▶ Solution representation:  $\text{var}\{\text{int}\} a[|V|](1..K)$
- ▶ Neighborhood: permutation of color classes + greedy algorithm
- ▶ Evaluation function: number of colors

## Theorem

Let  $\varphi$  be a  $k$ -coloring of a graph  $G$  and  $\pi$  a permutation such that if  $\varphi(v_{\pi(i)}) = \varphi(v_{\pi(m)}) = c$  then  $\varphi(v_{\pi(j)}) = c, \forall i \leq j \leq m$ .

Applying the greedy algorithm to  $\pi$  will produce a coloring using  $k$  or fewer colors.

Scheme:  $k$ -variable / complete / improper

## Local Search

- ▶ Solution representation:  $\text{var}\{\text{int}\} a[|V|](1..K)$
- ▶ Neighborhood: one-exchange
- ▶ Evaluation function:  $f(s) = -\sum_{i=1}^k |C_i|^2 + \sum_{i=1}^k 2|C_i||E_i|$

Ev. function chosen in such a way that an improvement in feasibility  
(in the worst case by coloring a vertex to a new color class)  
offsets any improvement in solution quality  
(in the best case by moving a vertex to the first color class).

[Blöchliger and N. Zufferey, 2008]

Scheme:  $k$ -fixed / partial / proper

## Local Search

- ▶ Solution representation: collection of  $k + 1$  sets + assignment vector
- ▶ Evaluation function: size of impasse class (weighted by degree)
- ▶ Neighborhood:  $i$ -swap

- Brélaz D. (1979). **New methods to color the vertices of a graph.** *Communications of the ACM*, 22(4), pp. 251–256.
- Leighton F.T. (1979). **A graph coloring algorithm for large scheduling problems.** *Journal of Research of the National Bureau of Standards*, 84(6), pp. 489–506.