# DM841 Discrete Optimization

Part II
Lecture 9
Further Notions of Local Consistency

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### Outline

1. Higher Order Consistencies

2. Weaker arc consistencies

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# **Higher Order Consistencies**

- arc consistency does not remove all inconsistencies: even if a CSP is arc consistent there might be no solution
- ▶ arc consistency deals with each constraint separately
- stronger consistencies techniques are studied:
  - path consistency (generalizes arc consistency to arbitrary binary constraints)
  - restricted path consistency
  - ► *k*-consistency
  - ► (*i*, *j*)-consistent

# Path consistency

Given  $\mathcal{P} = \langle X, \mathcal{DE}, \mathcal{C} \rangle$  normalized and  $x_i, x_j$ :

- ▶ the pair  $(v_i, v_j) \in D(x_i) \times D(x_j)$  is p-path consistent iff forall  $Y = (x_i = x_{k_1}, \dots, x_{k_p} = x_j)$  with  $C_{k_q, k_{q+1}} \in \mathcal{C}$   $\exists \tau : \tau[Y] = (v_i = v_{k_1}, \dots, v_{k_{q+1}} = v_j) \in \pi_Y(\mathcal{DE})$  and  $(v_{k_q}, v_{k_{q+1}}) \in C_{k_p, k_{q+1}}, q = 1, \dots, p$
- ▶ the CSP  $\mathcal{P}$  is p-path consistent iff for any  $(x_i, x_j)$ ,  $i \neq j$  any local consistent pair of values is path consistent.

#### Example

$$\mathcal{P} = \langle X = (x_1, x_2, x_3), D(x_i) = \{1, 2\}, \mathcal{C} \equiv \{x_1 \neq x_2, x_2 \neq x_3\} \rangle$$

Not path consistent: e.g., for  $(x_1,1),(x_3,2)$  there is no  $x_2$   $\mathcal{P}=\langle X,\mathcal{DE},\mathcal{C}\cup\{x_1=x_3\}\rangle$  is path consistent (local consistency of  $x_1$ ,  $x_3$  removes values  $x_1\neq x_3$ )

#### Alternative definition:

constraint composition:

$$C_{x_1,x_3} = C_{x_1,x_2} \cdot C_{x_2,x_3} = \{(a,b) \mid \exists c((a,c) \in C_{x_1,x_2},(c,b) \in C_{x_2,x_3})\}$$

- ▶ A normalized CSP  $\mathcal{P}$  is 2-path consistent if for each subset  $\{x_1, x_2, x_3\}$  of its variables we have  $C_{x_1, x_2} \subseteq C_{x_1, x_2} \cdot C_{x_2, x_3}$
- Note: the sequence is arbitrary and the order irrelevant hence 6 conditions needs to be considered
- ► A CSP without binary constraints is trivially path consistent

#### Path Consistency rule 1 (propagator):

$$\langle C_{xy}, C_{xz}, C_{yz}; x \in D(x), y \in D(y), z \in D(z) \rangle$$
$$\langle C'_{xy}, C_{xz}, C_{yz}; x \in D(x), y \in D(y), z \in D(z) \rangle$$

where  $C'_{xy} := C_{xy} \cap C_{xz} \cdot C_{zy}$ Path Consistency rule 2 (propagator):

$$\frac{\langle C_{xy}, C_{xz}, C_{yz}; x \in D(x), y \in D(y), z \in D(z) \rangle}{\langle C_{xy}, C'_{xz}, C_{yz}; x \in D(x), y \in D(y), z \in D(z) \rangle}$$

where  $C'_{xz} := C_{xz} \cap C_{xy} \cdot C_{yz}$ Path Consistency rule 3 (propagator):

$$\langle C_{xy}, C_{xz}, C_{yz}; x \in D(x), y \in D(y), z \in D(z) \rangle$$
$$\langle C_{xy}, C_{xz}, C'_{yz}; x \in D(x), y \in D(y), z \in D(z) \rangle$$

where 
$$C'_{yz} := C_{yz} \cap C_{yx} \cdot C_{xz}$$

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#### Example

$$\langle x < y, y < z, x < z; x \in [0..4], y \in [1..5], z \in [6..10] \rangle$$

is path consistent. Indeed:

$$C_{x,z} = \{(a,c) \mid a < c, a \in [0..4], c \in [6..10]\}$$

$$C_{x,y} = \{(a,b) \mid a < b, a \in [0..4], b \in [1..5]\}$$

$$C_{y,z} = \{(b,c) \mid b < c, b \in [1..5], c \in [6..10]\}$$

#### Example

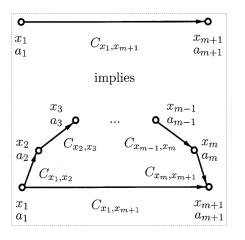
$$\langle x < y, y < z, x < z; x \in [0..4], y \in [1..5], z \in [5..10] \rangle$$

is not path consistent. Indeed:

$$C_{x,z} = \{(a,c) \mid a < c, a \in [0..4], c \in [5..10]\}$$
 and for  $4 \in [0..4]$  and  $5 \in [5..10]$  no  $b \in [1..5]$  such that  $4 < b$  and  $b < 5$ .

# p-path consistency

The p-path consistency defined earlier generalizes 2-path consistency:



#### 2-path consistency if the path has length 2

- ► CSP is p-path consistent ⇔ 2-path consistent (Montanari, 1974). Proof by induction.
- ▶ Hence, sufficient to enforce consistency on paths of length 2.
- ▶ path consistency algorithms work with path of length two only and, like AC algorithms, make these paths consistent with revisions.
- Even if PC eliminates more inconsistencies than AC, seldom used in practice because of efficiency issues
- PC requires extensional representation of constraints and hence huge amount memory.
- Restricted PC does AC and PC only when a variable is left with one value.

### *k*-consistency

Given  $\mathcal{P} = \langle X, \mathcal{DE}, \mathcal{C} \rangle$ , and set of variables  $Y \subseteq X$  with |Y| = k - 1:

- ▶ a locally consistent instantiation I on Y is k-consistent iff for any kth variable  $x_{i_k} \in X \setminus Y \exists$  a value  $v_{i_k} \in D(x_{i_k}) : I \cup \{x_{i_k}, v_{i_k}\}$  is locally consistent
- ▶ the CSP  $\mathcal{P}$  is k-consistent iff for all Y of k-1 variables any locally consistent I on Y is k-consistent.

#### Example

arc-consistent  $\neq 2$ -consistent

$$D(x_1) = D(x_2) = \{1, 2, 3\}, x_1 \le x_2, x_1 \ne x_2$$

arc consistent, every value has a support on one constraint not 2-consistent,  $x_1=3$  cannot be extended to  $x_2$  and  $x_2=1$  not to  $x_1$  with both constraints

arc consistency: each binary constraint separately taken is not violated 2-consistency: any constraint is not violated

#### Example

$$D(x_i) = \{1, 2\}, i = 1, 2, 3; C = \{(1, 1, 1), (2, 2, 2)\}$$

is  $\mathcal{P}$  path consistent? Yes because no binary constraint such that  $X(\mathcal{C}) \subseteq Y$  is  $\mathcal{P}$  3-consistent? No, because  $(x_1,1),(x_2,2)$  is locally consistent but cannot be extended consistently to  $x_3$ .

#### Example

$$\langle D(x) = [1..2], D(y) = [1..2], D(z) = [2..4]; C = \{x \neq y, x + y = z\} \rangle$$

- ▶ 1-consistent?
- 2-consistent?
- ▶ 3-consistent?

- ▶ A node consistent normalized CSP is arc consistent iff it is 2-consistent
- ► A node consistent normalized binary CSP is path consistent iff it is 3-consistent

#### But:

- For any k > 1, there exists a CSP that is (k − 1)-consistent but not k-consistent
- For any k > 2, there exists a CSP that is not (k − 1)-consistent but is k-consistent

#### Example

(every (k-1)-consistent instantiation is a restriction of the consistent instantiation  $(b,a,a,\dots,a)$ 

- ▶ P is strongly k-consistent iff it is j-consistent  $\forall j \leq k$
- ▶ constructing one requires  $O(n^k d^k)$  time and  $O(n^{k-1} d^{k-1})$  space.
- $\blacktriangleright$  if  $\mathcal{P}$  is strongly *n*-consistent then it is globally consistent
- (i,j)-consistent: any consistent instantiation of i different variables can be extended to a consistent instantiation including any j additional variables

k consistency  $\equiv (k-1,k)$  consistent

 $\triangleright$  strongly (i, j)-consistent

### Outline

1. Higher Order Consistencies

2. Weaker arc consistencies

### Weaker arc consistencies

- ▶ reduce calls to Revise in coarse-grained algorithms (Forward Checking)
- reduce amount of work of Revise (Bound consistency)

### **Directional Arc Consistency**

- ▶ Uses some linear ordering on the considered variables.
- ▶ Require existence of supports only 'in one direction'
- ▶ A binary CSP  $\mathcal{P}$  is directionally arc consistent (DAC) according to ordering  $o = (x_1, \ldots, x_{k_n})$  on X, where  $(k_1, \ldots, k_n)$  is a permutation of  $(1, \ldots, k)$  iff for all  $C_{x_i, x_j} \in \mathcal{C}$ , if  $x_i <_o x_j$  then  $x_i$  is arc consistent on  $C_{x_i, x_i}$ .
- ► CSP is dir. arc consistent if it is closed under application of arc consistency rule 1.

#### Example

$$\langle x < y; x \in [2..10], y \in [3..7] \rangle$$

not arc consistent but directionally arc consistent for the order (y, x)

### Forward checking

Given  $\mathcal{P}$  binary and  $Y \subseteq X : |D(x_i)| = 1 \forall x_i \in Y$ :

▶  $\mathcal{P}$  is forward checking consistent according to instantiation I on Y iff it is locally consistent and for all  $x_i \in Y$ , for all  $x_j \in X \setminus Y$  for all  $C(x_i, x_j) \in \mathcal{C}$  is arc consistent on  $C(x_i, x_j)$ .

(all constraints between assigned and not assigned variables are consistent.)

- ► O(ed) time (Revise called only once per arc)
- Extension to non-binary constraints
- Example:

$$\langle D(x_1) = D(x_2) = [1..5], D(x_3) = [1..3]; C = \{x_1 < x_2, x_2 = x_3, x_1 > x_3\} \rangle$$

after  $x_1 = 3$ 

# Other Lookahead Filtering

Defined only by procedure, not by fixed point definition

Algorithm partial lookahead and full lookahead

### **Bound consistency**

- ▶ domains inherit total ordering on Z, min<sub>D</sub>(x) and max<sub>D</sub>(x) called bounds of D(x)
- ▶ Given  $\mathcal{P}$  and  $\mathcal{C}$ , a bounded support  $\tau$  on  $\mathcal{C}$  is a tuple that satisfies  $\mathcal{C}$  and such that for all  $x_i \in X(\mathcal{C})$ ,  $\min_D(x_i) \leq \tau[x_i] \leq \max_D(x_i)$ , that is,  $\tau \in \mathcal{C} \cap \pi_{X(\mathcal{C})}(D^I)$  (instead of D)

$$D^{I}(x_{i}) = \{v \in \mathbf{Z} \mid \min_{D}(x_{i}) \leq v \leq \max_{D}(x_{i})\}$$

- ▶ *C* is bound(**Z**) consistent iff  $\forall x_i \in X$  its bounds belong to a bounded support on *C*
- ▶ *C* is range consistent iff  $\forall x_i \in X$  all its values belong to a bounded support on *C*
- ▶ *C* is bound(**D**) consistent iff  $\forall x_i \in X$  its bounds belong to a support on *C*

- ► GAC < (bound(D), range) < bound(Z) (strictly stronger) bound(D) and range are incomparable</p>
- most of the time gain in efficiency

#### Example

$$sum(x_1,\ldots,x_k,k)$$

GAC is NP-complete (reduction from SubSet problem).

But bound(**Z**) is polynomial: test  $\forall 1 \leq i \leq n$ :

$$\begin{aligned} \min_{D}(x_i) &\geq k - \sum_{j \neq i} \max_{D}(x_j) \\ \max_{D}(x_i) &\leq k - \sum_{j \neq i} \min_{D}(x_j) \end{aligned}$$

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