DM841 Constraint Programming

Constraint Propagation Algorithms

Marco Chiarandini

Department of Mathematics & Computer Science University of Southern Denmark

Resume

- Definitions (CSP, restrictions, projections, istantiation, local consistency)
- Tigthtenings
- Global consistent (any instantiation local consistent can be extended to a solution) needs exponential time
 - → local consistency defined by condition Φ of the problem
- ► Tightenings by constraint propagation: reduction rules + rules iterations
 - ► reduction rules $\Leftrightarrow \Phi$ consistency
 - ▶ rules iteration: reach fixed point, that is, closure of all tightenings that are Φ consistent

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Outline

1. Local Consistency

2. Arc Consistency Algorithm

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Node Consistency

We call a CSP node consistent if for every variable x every unary constraint on x coincides with the domain of x.

Example

- ▶ $\langle C, x_1 \geq 0, \dots, x_n \geq 0; x_1 \in \mathbb{N}, \dots, x_n \in \mathbb{N} \rangle$ and C does not contain other unary constraints node consistent
- ▶ $\langle \mathcal{C}, x_1 \geq 0, \dots, x_n \geq 0; x_1 \in \mathbb{N}, \dots, x_n \in \mathbb{Z} \rangle$ and \mathcal{C} does not contain other unary constraints not node consistent

A CSP is node consistent iff it is closed under the applications of the Node Consistency rule (propagator):

$$\frac{\langle C; x \in D \rangle}{\langle C; x \in C \cap D \rangle}$$

(the rule is parameterised by a variable x and a unary constraint C)

Arc Consistency

Arc consistency: every value in a domain is consistent with every binary constraint.

- ightharpoonup C = c(x,y) with $\mathcal{D} = \{D(x),D(y)\}$ is arc consistent iff
 - $\forall a \in D(x)$ there exists $b \in D(y)$ such that $(a, b) \in C$
 - $\forall b \in D(y)$ there exists $a \in D(x)$ such that $(a, b) \in C$
- $ightharpoonup \mathcal{P}$ is arc consistent iff it is AC for all its binary constraints

In general arc consistency does not imply global consistency. An arc consistent but inconsistent CSP:



A consistent but not arc consistent CSP:



Arc Consistency

A CSP is arc consistent iff it is closed under the applications of the Arc Consistency rules (propagators):

$$\frac{\langle C; x \in D(x), y \in D(y) \rangle}{\langle C; x \in D'(x), y \in D(y) \rangle}$$

where $D'(x) := \{ a \in D(x) \mid \exists b \in D(y), (a, b) \in C \}$

$$\frac{\langle C; x \in D(x), y \in D(y) \rangle}{\langle C; x \in D(x), y \in D'(y) \rangle}$$

where $D'(y) := \{ b \in D(y) \mid \exists a \in D(x), (a, b) \in C \}$

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Generalized Arc Consistency (GAC)

```
Given arbitrary (non-normalized, non-binary) \mathcal{P}, C \in \mathcal{C}, x_i \in X(\mathcal{C})

(Value) v \in D(x_i) is consistent with C in \mathcal{D} iff \exists a valid tuple \tau for C: v_i = \tau[x_i]. \tau is called support for (x_i, v_i)

(Variable) \mathcal{D} is GAC on C for x_i iff all values in D(x_i) are consistent with C in \mathcal{D} (i.e., D(x_i) \subseteq \pi_{\{x_i\}}(C \cap \pi_{\{X(C)\}}(\mathcal{D})))

(Problem) \mathcal{P} is GAC iff \mathcal{D} is GAC for all x in X on all C \in \mathcal{C}
```

 ${\cal P}$ is arc inconsistent iff the only domain tighter than ${\cal D}$ which is GAC for all variables on all constraints is the empty set.

(aka, hyperarc consistency, domain consistency)

В

Example

$$\langle x=1,y\in\{0,1\},z\in\{0,1\};\mathcal{C}=\{x\wedge y=z\}\rangle$$
 is hyperarc consistent

$$\langle x \in \{0,1\}, y \in \{0,1\}, z=1; \mathcal{C}=\{x \land y=z\} \rangle$$
 is not hyper-arc consistent

Example: arc consistency \neq 2-consistency, AC < 2C on non-normalized binary CSP, and incomparable on arbitrary CSP (later)

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Generalized Arc Consistency

A CSP is arc consistent iff it is closed under the applications of the Arc Consistency rules (propagators):

$$\langle C; x_1 \in D(x), \dots, x_k \in D(x_k) \rangle$$

$$\langle C; x_1 \in D(x_1), \dots, x_{i-1} \in D(x_{i-1}), x_i \in D'(x_i), x_{i+1} \in D(x_{i+1}), \dots, x_k \in D(x_k) \rangle$$

where $D'(x_i) := \{a \in D(x_i) \mid \exists \tau \in C, a = \tau[x_i]\}$

Outline

1. Local Consistency

2. Arc Consistency Algorithms

Arc Consistency

Arc Consistency rule 1 (propagator):

$$\langle C; x \in D(x), y \in D(y) \rangle$$

 $\langle C; x \in D'(x), y \in D(y) \rangle$

where
$$D'(x) := \{ a \in D(x) \mid \exists b \in D(y), (a, b) \in C \}$$

This can also be written as (\bowtie represents the join):

$$D(x) \leftarrow D(x) \cap \pi_{\{x\}}(\bowtie(C, D(y)))$$

Arc Consistency rule 2 (propagator):

$$\frac{\langle C; x \in D(x), y \in D(y) \rangle}{\langle C; x \in D(x), y \in D'(y) \rangle}$$

where
$$D'(y) := \{ b \in D(y) \mid \exists a \in D(x), (a, b) \in C \}$$

This can also be written as:

$$D(y) \leftarrow D(y) \cap \pi_{\{y\}}(\bowtie(C,D(x)))$$

Generalized Arc Consistency

(Generalized) Arc Consistency rule (propagator):

$$\frac{\langle C; x_1 \in D(x), \dots, x_k \in D(x_k) \rangle}{\langle C; x_1 \in D(x_1), \dots, x_{i-1} \in D(x_{i-1}), x_i \in D'(x_i), x_{i+1} \in D(x_{i+1}), \dots, x_k \in D(x_k) \rangle}$$
 where $D'(x_i) := \{a \in D(x_i) \mid \exists \tau \in C, a = \tau[x_i] \}$

This can also be written as:

$$D(x_i) \leftarrow D(x_i) \cap \pi_{\{x_i\}}(C \cap \pi_{X(C)}(\mathcal{D}))$$

AC1 – Reduction rule

Revision: making a constraint arc consistent by propagating the domain from a variable to another Corresponds to:

$$D(x) \leftarrow D(x) \cap \pi_{\{x\}}(\bowtie(C, D(y)))$$

for a given variable x and constraint CAssume normalized network:

REVISE
$$((x_i), x_j)$$

input: a subnetwork defined by two variables $X = \{x_i, x_j\}$, a distinguished variable x_i , domains: D_i and D_j , and constraint R_{ij}

output: D_i , such that, x_i arc-consistent relative to x_j

- 1. for each $a_i \in D_i$
- 2. **if** there is no $a_j \in D_j$ such that $(a_i, a_j) \in R_{ij}$
- 3. then delete a_i from D_i
- 4. endif
- 5. endfor

Complexity: $O(d^2)$ or $O(rd^r)$ d values, r arity

AC1 – Rules Iteration

```
procedure AC-1
{ Q <- {c(Xi,Xj) in C};
    repeat

    CHANGE <- false;
    for each c(Xi,Xj) in Q do
        { CHANGE <- REVISE(Xi,Xj) or CHANGE; }
    until not(CHANGE) }</pre>
```

- Complexity (Mackworth and Freuder, 1986): O(end³) e number of arcs, n variables (ed² each loop, a single succesful removal causes all loop again → nd number of loops)
- ▶ best-case = O(ed)
- ightharpoonup Arc-consistency is at least $O(ed^2)$ in the worst case (see later)
- ► → too many calls to Revise

AC3 (Macworth, 1977)

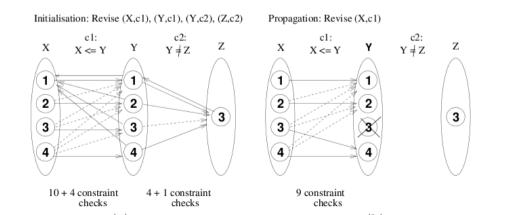
General case - Arc oriented (coarse-grained)

```
function Revise3(in x<sub>i</sub>: variable; c: constraint): Boolean;
    begin
        CHANGE ← false:
        foreach v_i \in D(x_i) do
             if \exists \tau \in c \cap \pi_{X(c)}(D) with \tau[x_i] = v_i then
                  remove v_i from D(x_i);
                  CHANGE ← true:
        return CHANGE:
    end
function AC3/GAC3(in X: set): Boolean;
    begin
        /* initalisation */:
        Q \leftarrow \{(x_i, c) \mid c \in C, x_i \in X(c)\};
        /* propagation */;
        while Q \neq \emptyset do
             select and remove (x_i, c) from Q:
             if Revise(x_i, c) then
10
11
                  if D(x_i) = \emptyset then return false :
                  else Q \leftarrow Q \cup \{(x_i, c') \mid c' \in C \land c' \neq c \land x_i, x_i \in X(c') \land j \neq i\};
12
13
        return true:
    end
```

AC3 Example

$$\mathcal{P} = \langle X = (x, y, z), \ \mathcal{D} = \{ D(x) = D(y) = \{1, 2, 3, 4\}, D(z) = \{3\} \},$$

$$\mathcal{C} = \{ C_1 \equiv x \le y, C_2 \equiv y \ne z \} \} \rangle$$



AC4

Binary normalized problems - value oriented (fine grained)

```
function AC4(in X: set): Boolean :
                                                   counter[x_i, v_i, x_i]: how many supports v_i has on c_{ij}
                                                                  S[x_i, v_i]:
                                                                                all values that are supported
    begin
         /* initialization */:
                                                                                by (x_i, v_i) on c_{ii}
        Q \leftarrow \emptyset; S[x_i, v_i] = 0, \forall v_i \in D(x_i), \forall x_i \in X;
        foreach x_i \in X, c_{ij} \in C, v_i \in D(x_i) do
 2
             initialize counter[x_i, v_i, x_i] to |\{v_i \in D(x_i) \mid (v_i, v_i) \in c_{ii}\}|;
 3
             if counter[x_i, v_i, x_j] = 0 then remove v_i from D(x_i) and add (x_i, v_i) to
             Q;
             add (x_i, v_i) to each S[x_i, v_i] s.t. (v_i, v_i) \in c_{ii};
             if D(x_i) = \emptyset then return false:
         /* propagation */;
        while Q \neq \emptyset do
             select and remove (x_i, v_i) from Q;
             foreach (x_i, v_i) \in S[x_i, v_i] do
 9
                 if v_i \in D(x_i) then
10
                      counter[x_i, v_i, x_j] = counter[x_i, v_i, x_j] - 1;
11
                      if counter[x_i, v_i, x_i] = 0 then
12
                          remove v_i from D(x_i); add (x_i, v_i) to Q;
13
                          if D(x_i) = \emptyset then return false;
14
15
        return true :
    end
```

AC4 Example

counter[x, 1, y] = 4

counter[x, 2, y] = 3

counter[x, 3, y] = 2

counter[x, 4, y] = 1

$$\mathcal{P} = \langle X = (x, y, z), \ \mathcal{DE} = \{ D(x) = D(y) = \{1, 2, 3, 4\}, D(z) = \{3\} \},$$

$$\mathcal{C} = \{ C_1 \equiv x \le y, C_2 \equiv y \ne z \} \} \rangle$$

counter[y, 1, x] = 1

counter[y, 2, x] = 2

counter[y, 3, x] = 3

counter[u, 4, x] = 4

counter[y, 1, z] = 1

counter[y, 2, z] = 1

counter[y, 3, z] = 0

counter[y, 4, z] = 1

```
\begin{aligned} \text{counter}[z,3,y] &= 3 \\ S[x,1] &= \{(y,1),(y,2),(y,3),(y,4)\} & S[y,1] &= \{(x,1),(z,3)\} \\ S[x,2] &= \{(y,2),(y,3),(y,4)\} & S[y,2] &= \{(x,1),(x,2),(z,3)\} \\ S[x,3] &= \{(y,3),(y,4)\} & S[y,3] &= \{(x,1),(x,2),(x,3)\} \\ S[x,4] &= \{(y,4)\} & S[y,4] &= \{(x,1),(x,2),(x,3),(x,4),(z,3)\} \\ S[z,3] &= \{(y,1),(y,2),(y,4)\} \end{aligned}
```

AC6

Binary normalized problems

```
S[x_i, v_i]: list of values (x_i, v_i) currently having (x_i, v_i) as
function AC6(in X: set): Boolean;
                                                                    their first support
    begin
         /* initialization */:
        Q \leftarrow \emptyset: S[x_i, v_i] = 0, \forall v_i \in D(x_i), \forall x_i \in X;
         foreach x_i \in X, c_{ij} \in C, v_i \in D(x_i) do
 2
             v_i \leftarrow \text{smallest value in } D(x_i) \text{ s.t. } (v_i, v_i) \in c_{ii};
 3
             if v_i exists then add (x_i, v_i) to S[x_i, v_i];
             else remove v_i from D(x_i) and add (x_i, v_i) to Q;
             if D(x_i) = \emptyset then return false:
         /* propagation */;
         while Q \neq \emptyset do
 7
             select and remove (x_i, v_i) from Q;
             foreach (x_i, v_i) \in S[x_i, v_i] do
                  if v_i \in D(x_i) then
10
                       v_i' \leftarrow \text{smallest value in } D(x_i) \text{ greater than } v_i \text{ s.t. } (v_i, v_i) \in c_{ij};
11
                       if v_i' exists then add (x_i, v_i) to S[x_i, v_i'];
12
                       else
13
                            remove v_i from D(x_i); add (x_i, v_i) to Q;
14
                            if D(x_i) = \emptyset then return false;
15
16
         return true ;
    end
```

AC6 Example

$$\mathcal{P} = \langle X = (x, y, z), \ \mathcal{DE} = \{D(x) = D(y) = \{1, 2, 3, 4\}, D(z) = \{3\}\},\$$
$$\mathcal{C} = \{C_1 \equiv x \le y, C_2 \equiv y \ne z\}\} \rangle$$

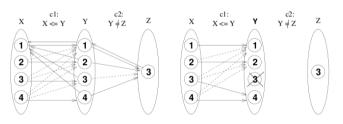
$$\begin{array}{ll} S[x,1] = \{(y,1),(y,2),(y,3),(y,4)\} & S[y,1] = \{(x,1),(z,3)\} \\ S[x,2] = \{\} & S[y,2] = \{(x,2)\} \\ S[x,3] = \{\} & S[y,3] = \{(x,3)\} \\ S[x,4] = \{\} & S[y,4] = \{(x,4)\} \\ S[z,3] = \{(y,1),(y,2),(y,4)\} \end{array}$$

```
function Revise2001(in x_i: variable: c_{ij}: constraint): Boolean:
    begin
         CHANGE ← false:
  \mathbf{2}
         foreach v_i \in D(x_i) s.t. Last(x_i, v_i, x_i) \notin D(x_i) do
              v_i \leftarrow \text{smallest value in } D(x_i) \text{ greater than } \mathsf{Last}(x_i, v_i, x_i) \text{ s.t.}
  3
              (v_i, v_i) \in c_{ii};
              if v_i exists then Last(x_i, v_i, x_i) \leftarrow v_i;
              else
                  remove v_i from D(x_i):
                  CHANGE \leftarrow true:
         return CHANGE:
    end
function AC3/GAC3(in X: set): Boolean :
    begin
         /* initalisation */:
         Q \leftarrow \{(x_i, c) \mid c \in C, x_i \in X(c)\};
         /* propagation */:
        while Q \neq \emptyset do
             select and remove (x_i, c) from Q;
 9
             if Revise(x_i, c) then
10
                  if D(x_i) = \emptyset then return false;
11
                  else Q \leftarrow Q \cup \{(x_i, c') \mid c' \in C \land c' \neq c \land x_i, x_i \in X(c') \land i \neq i\}:
12
13
         return true :
    end
```

Reverse2001

$$\mathcal{P} = \langle X = (x, y, z), \ \mathcal{DE} = \{ D(x) = D(y) = \{1, 2, 3, 4\}, D(z) = \{3\} \},$$

$$\mathcal{C} = \{ C_1 \equiv x \le y, C_2 \equiv y \ne z \} \} \rangle$$



$$\begin{array}{lll} {\rm Last}[x,1,y] = 1 & {\rm Last}[y,1,x] = 1 & {\rm Last}[y,1,z] = 3 \\ {\rm Last}[x,2,y] = 2 & {\rm Last}[y,2,x] = 1 & {\rm Last}[y,2,z] = 3 \\ {\rm Last}[x,3,y] = 3 & {\rm Last}[y,3,x] = 1 & {\rm Last}[y,3,z] = nil \\ {\rm Last}[x,4,y] = 4 & {\rm Last}[y,4,x] = 1 & {\rm Last}[y,4,z] = 3 \\ {\rm Last}[z,3,y] = 1 & {\rm Last}[z,3,y] = 1 \end{array}$$

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Limitation of Arc Consistency

Example

$$\langle x < y, y < z, z < x; x, y, z \in \{1..100000\} \rangle$$

is inconsistent.

Proof: Apply revise to (x, x < y)

$$\langle x < y, y < z, z < x; x \in \{1..99999\}, y, z \in \{1..100000\} \rangle,$$

ecc. we end in a fail.

- Disadvantage: large number of steps.
 Run time depends on the size of the domains!
- ▶ Note: we could prove fail by transitivity of <.
 - → Path consitency involves two constraints together

References

Bessiere C. (2006). **Constraint propagation**. In *Handbook of Constraint Programming*, edited by F. Rossi, P. van Beek, and T. Walsh, chap. 3. Elsevier. Also as Technical Report LIRMM 06020, March 2006.