

DM841  
Discrete Optimization

# Satisfiability Incomplete Algorithms

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# Outline

1. Local Search for SAT

# Maximum Weighted Satisfiability

Notation:

- 0-1 variables  $x_j$ ,  $j \in N = \{1, 2, \dots, n\}$ ,
  - clauses  $C_i$ ,  $i \in M = \{1, 2, \dots, m\}$ , and weights  $w_i (\geq 0)$ ,  $i \in M$
  - $\bar{x}_j = 1 - x_j$
  - $L = \bigcup_{j \in N} \{x_j, \bar{x}_j\}$  set of literals
  - $C_i \subseteq L$  for  $i \in M$  (e.g.,  $C_i = \{x_1, \bar{x}_3, x_8\}$ ).
  - Task:  $\max_{x \in \{0,1\}^n} \sum \{w_i \mid i \in M \text{ and } C_i \text{ is satisfied in } x\}$
- 
- ① devise preprocessing rules, ie, polynomial time simplification rules
  - ② design one or more construction heuristics for the problem
  - ③ design one or more local search for the problem

# Pre-processing

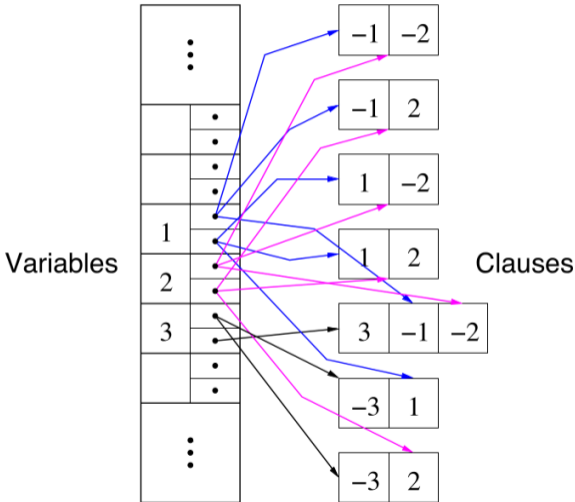
**Pre-processing rules:** low polynomial time procedures to decrease the size of the problem instance.

Typically applied repeatedly until fixed point when no rule is effective anymore.

# Examples in SAT

- ① eliminate duplicate literals
- ② eliminate tautologies:  $x_1 \vee \neg x_1 \dots$
- ③ eliminate subsumed clauses
- ④ eliminate clauses with pure literals
- ⑤ eliminate unit clauses
- ⑥ unit resolution/propagation
- ⑦ probing (existential quantification for each variable)

# Simple data structure for unit propagation



# Local Search: Decision vs Minimization

## LS-Decision( $\pi$ )

**input:** problem instance  $\pi \in \Pi$

**output:** solution  $x \in S'(\pi)$  or  $\emptyset$

$(x) := \text{init}(\pi)$

**while** not **terminate**( $\pi, x$ ) **do**

└  $(x) := \text{step}(\pi, x)$

**if**  $x \in S'(\pi)$  **then**

└ **return**  $x$

**else**

└ **return**  $\emptyset$

## LS-Minimization( $\pi'$ )

**input:** problem instance  $\pi' \in \Pi'$

**output:** solution  $x \in S'(\pi')$  or  $\emptyset$

$(x) := \text{init}(\pi')$ ;

$x_b := x$ ;

**while** not **terminate**( $\pi', x$ ) **do**

└  $(x) := \text{step}(\pi', x)$ ;

└ **if**  $f(\pi', x) < f(\pi', x_b)$  **then**

└└  $x_b := x$ ;

**if**  $x_b \in S'(\pi')$  **then**

└ **return**  $x_b$

**else**

└ **return**  $\emptyset$

- Assignment:  $x \in \{0, 1\}^n$
- Evaluation function:  $f(x) = \#$  unsatisfied clauses (assume  $w_i = 1$ )
- Neighborhood: one-flip
- Step rule: best neighbor

Naive approach: exhaustive neighborhood examination in  $O(nmk)$  ( $k$  size of largest  $C_i$ )

A better approach:

- $C(x_j) = \{i \in M \mid x_j \in C_i\}$  (i.e., clauses dependent on  $x_j$ )
- $L(x_j) = \{\ell \in N \mid \exists i \in M \text{ with } x_\ell \in C_i \text{ and } x_j \in C_i\}$
- $f(x) = \#$  unsatisfied clauses
- $\Delta(x_j) = f(x') - f(x)$ ,  $x' = \delta_{1E}^{x_j}(x)$  (aka **score** of  $x_j$ )

Initialize:

- compute  $f$ , score of each variable, and list unsat clauses in  $O(mk)$
- init  $C(x_j)$  for all variables

Examine Neighborhood

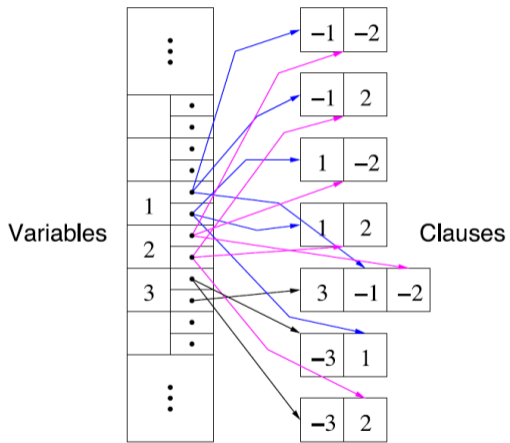
- choose the var with best score

Update:

- change the score of variables affected, that is, look in  $C(\cdot)$   $O(mk)$



## $C(x_j)$ Data Structure



Even better approach (though same asymptotic complexity):

↪ after the flip of  $x_j$  only the score of variables in  $L(x_j)$  that **critically depend** on  $x_j$  actually changes

- Clause  $C_i$  is **critically satisfied** by a variable  $x_j$  in  $x$  iff:
  - $x_j$  is in  $C_i$
  - $C_i$  is satisfied in  $x$  and flipping  $x_j$  makes  $C_i$  unsatisfied (e.g.,  $1 \vee 0 \vee 0$  but not  $1 \vee 1 \vee 0$ )

Keep a list of such clauses for each var

- $x_j$  is **critically dependent** on  $x_\ell$  under  $x$  iff:  
there exists  $C_i \in C(x_j) \cap C(x_\ell)$  and such that flipping  $x_j$ :
  - $C_i$  changes from satisfied to not satisfied or viceversa
  - $C_i$  changes from satisfied to critically satisfied by  $x_\ell$  or viceversa

Initialize:

- compute score of variables;
- init  $C(x_j)$  for all variables
- init status criticality for each clause (ie, count # of ones per clause)

Update:

change sign to score of  $x_j$

**for** all  $C_i$  in  $C(x_j)$  where critically dependent vars are **do**

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┌   for all  $x_\ell \in C_i$  do
└       ┌ update score  $x_\ell$  depending on its critical status before flipping  $x_j$ 
```

# Summary

1. Local Search for SAT