

# Cryptography, Number Theory, and RSA

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# Outline

- ▶ Symmetric key cryptography
- ▶ Public key cryptography
- ▶ Introduction to number theory
- ▶ RSA
- ▶ Digital signatures with RSA
- ▶ Combining symmetric and public key systems
- ▶ Modular exponentiation
- ▶ Greatest common divisor
- ▶ Primality testing
- ▶ Correctness of RSA

# Caesar cipher

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

P	Q	R	S	T	U	V	W	X	Y	Z	Æ	Ø	Å
15	16	17	18	19	20	21	22	23	24	25	26	27	28
S	T	U	V	W	X	Y	Z	Æ	Ø	Å	A	B	C
18	19	20	21	22	23	24	25	26	27	28	0	1	2

$$E(m) = m + 3 \pmod{29}$$

## Symmetric key systems

Suppose the following was encrypted using a Caesar cipher and the Danish alphabet. The key is unknown. What does it say?

*ZQOØQOØ, RI.*

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What does this say about how many keys should be possible?

# Symmetric key systems

- ▶ Caesar Cipher
- ▶
- ▶
- ▶ Enigma
- ▶ DES
- ▶ Blowfish
- ▶ IDEA
- ▶ Triple DES
- ▶ AES

# Public key cryptography

Bob — 2 keys -  $PK_B, SK_B$

$PK_B$  — Bob's public key

$SK_B$  — Bob's private (secret) key

For Alice to send  $m$  to Bob,

Alice computes:  $c = E(m, PK_B)$ .

To decrypt  $c$ , Bob computes:

$r = D(c, SK_B)$ .

$r = m$

It must be “hard” to compute  $SK_B$  from  $PK_B$ .

# Introduction to Number Theory

**Definition.** Suppose  $a, b \in \mathbb{Z}$ ,  $a > 0$ .

Suppose  $\exists c \in \mathbb{Z}$  s.t.  $b = ac$ . Then  $a$  *divides*  $b$ .

$a \mid b$ .

$a$  is a *factor* of  $b$ .

$b$  is a *multiple* of  $a$ .

$e \nmid f$  means  $e$  does not divide  $f$ .

**Theorem.**  $a, b, c \in \mathbb{Z}$ . Then

1. if  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$
2. if  $a \mid b$ , then  $a \mid bc \ \forall c \in \mathbb{Z}$
3. if  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ .



**Definition.**  $p \in \mathbb{Z}$ ,  $p > 1$ .

$p$  is *prime* if 1 and  $p$  are the only positive integers which divide  $p$ .

2, 3, 5, 7, 11, 13, 17, ...

$p$  is *composite* if it is not prime.

4, 6, 8, 9, 10, 12, 14, 15, 16, ...

**Theorem.**  $a \in \mathbb{Z}, d \in \mathbb{N}$

$\exists$  unique  $q, r, 0 \leq r < d$  s.t.  $a = dq + r$

$d$  – divisor

$a$  – dividend

$q$  – quotient

$r$  – remainder =  $a \bmod d$

**Definition.**  $\gcd(a, b)$  = greatest common divisor of  $a$  and  $b$   
= largest  $d \in \mathbb{Z}$  s.t.  $d|a$  and  $d|b$

If  $\gcd(a, b) = 1$ , then  $a$  and  $b$  are *relatively prime*.

**Definition.**  $a \equiv b \pmod{m}$  —  $a$  is congruent to  $b$  modulo  $m$  if  $m \mid (a - b)$ .

$$m \mid (a - b) \Rightarrow \exists k \in \mathbb{Z} \text{ s.t. } a = b + km.$$

**Theorem.**  $a \equiv b \pmod{m}$        $c \equiv d \pmod{m}$   
Then  $a + c \equiv b + d \pmod{m}$  and  $ac \equiv bd \pmod{m}$ .

**Proof.**(of first)  $\exists k_1, k_2$  s.t.

$$\begin{aligned} a &= b + k_1m & c &= d + k_2m \\ a + c &= b + k_1m + d + k_2m \\ &= b + d + (k_1 + k_2)m \end{aligned}$$

□

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**Examples.**

- |                              |                      |
|------------------------------|----------------------|
| 1. $15 \equiv 22 \pmod{7}$ ? | $15 = 22 \pmod{7}$ ? |
| 2. $15 \equiv 1 \pmod{7}$ ?  | $15 = 1 \pmod{7}$ ?  |
| 3. $15 \equiv 37 \pmod{7}$ ? | $15 = 37 \pmod{7}$ ? |
| 4. $58 \equiv 22 \pmod{9}$ ? | $58 = 22 \pmod{9}$ ? |

## RSA — a public key system

$N_A = p_A \cdot q_A$ , where  $p_A, q_A$  prime.

$\gcd(e_A, (p_A - 1)(q_A - 1)) = 1$ .

$e_A \cdot d_A \equiv 1 \pmod{(p_A - 1)(q_A - 1)}$ .

▶  $PK_A = (N_A, e_A)$

▶  $SK_A = (N_A, d_A)$

To encrypt:  $c = E(m, PK_A) = m^{e_A} \pmod{N_A}$ .

To decrypt:  $r = D(c, SK_A) = c^{d_A} \pmod{N_A}$ .

$r = m$ .

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**Example:**  $p = 5, q = 11, e = 3, d = 27, m = 8$ .

Then  $N = 55$ .  $e \cdot d = 81$ . So  $e \cdot d = 1 \pmod{4 \cdot 10}$ .

To encrypt  $m$ :  $c = 8^3 \pmod{55} = 17$ .

To decrypt  $c$ :  $r = 17^{27} \pmod{55} = 8$ .

# Digital Signatures with RSA

Suppose **Alice** wants to **sign** a document  $m$  such that:

- ▶ No one else could **forge** her signature
- ▶ It is easy for others to **verify** her signature

Note  $m$  has arbitrary length.

RSA is used on fixed length messages.

**Alice** uses a **cryptographically secure hash function**  $h$ , such that:

- ▶ For any message  $m'$ ,  $h(m')$  has a fixed length (512 bits?)
- ▶ It is “hard” for anyone to find 2 messages  $(m_1, m_2)$  such that  $h(m_1) = h(m_2)$ .

## Digital Signatures with RSA

Then **Alice** “decrypts”  $h(m)$  with her secret RSA key  $(N_A, d_A)$

$$s = (h(m))^{d_A} \pmod{N_A}$$

**Bob verifies** her signature using her public RSA key  $(N_A, e_A)$  and  $h$ :

$$c = s^{e_A} \pmod{N_A}$$

He accepts if and only if

$$h(m) = c$$

This works because  $s^{e_A} \pmod{N_A} =$

$$((h(m))^{d_A})^{e_A} \pmod{N_A} = ((h(m))^{e_A})^{d_A} \pmod{N_A} = h(m).$$



# Combining symmetric and public key systems

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To encrypt a message  $m$  to send to Bob:

- ▶ Choose a random *session key*  $k$  for a symmetric key system (AES?)
- ▶ Encrypt  $k$  with Bob's public key — Result  $k_e$
- ▶ Encrypt  $m$  with  $k$  — Result  $m_e$
- ▶ Send  $k_e$  and  $m_e$  to Bob

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- ▶ Send  $k_e$  and  $m_e$  to Bob

How does Bob decrypt? Why is this efficient?

# Security of RSA

The primes  $p_A$  and  $q_A$  are kept secret with  $d_A$ .

Suppose Eve can factor  $N_A$ .

Then she can find  $p_A$  and  $q_A$ .

From them and  $e_A$ , she finds  $d_A$ .

Then she can decrypt just like Alice.

Factoring must be hard!

# Factoring

**Theorem.**  $N$  composite  $\Rightarrow N$  has a prime divisor  $\leq \sqrt{N}$

**Factor**( $N$ )

```
for  $i = 2$  to  $\sqrt{N}$  do  
    check if  $i$  divides  $N$   
    if it does then output  $(i, N/i)$   
endfor  
output -1 if divisor not found
```

**Corollary** There is an algorithm for factoring  $N$  (or testing primality) which does  $O(\sqrt{N})$  tests of divisibility.

# Factoring

Check all possible divisors between 2 and  $\sqrt{N}$ .

Not finished in your grandchildren's life time for  $N$  with 1024 bits.

**Problem** The length of the input is  $n = \lceil \log_2(N + 1) \rceil$ . So the running time is  $O(2^{n/2})$  — exponential.

**Open Problem** Does there exist a polynomial time factoring algorithm?

Use primes which are at least 512 (or 1024) bits long.

So  $2^{511} \leq p_A, q_A < 2^{512}$ .

So  $p_A \approx 10^{154}$ .

# RSA

How do we implement RSA?

We need to find:  $p_A, q_A, N_A, e_A, d_A$ .

We need to encrypt and decrypt.



## RSA — encryption/decryption

We need to encrypt and decrypt: compute  $a^k \pmod n$ .

$a^2 \pmod n \equiv a \cdot a \pmod n$  — 1 modular multiplication

# Modular Exponentiation

**Theorem.** For all nonnegative integers,  $b, c, m$ ,  
 $b \cdot c \pmod{m} = (b \pmod{m}) \cdot (c \pmod{m}) \pmod{m}$ .

**Example:**  $a \cdot a^2 \pmod{n} = (a \pmod{n})(a^2 \pmod{n}) \pmod{n}$ .

$$\begin{aligned}8^3 \pmod{55} &= 8 \cdot 8^2 \pmod{55} \\ &= 8 \cdot 64 \pmod{55} \\ &= 8 \cdot (9 + 55) \pmod{55} \\ &= 72 + (8 \cdot 55) \pmod{55} \\ &= 17 + 55 + (8 \cdot 55) \pmod{55} \\ &= 17\end{aligned}$$

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Guess:  $k - 1$  modular multiplications.

This is too many!

$e_A \cdot d_A \equiv 1 \pmod{(p_A - 1)(q_A - 1)}$ .

$p_A$  and  $q_A$  have  $\geq 512$  bits each.

So at least one of  $e_A$  and  $d_A$  has  $\geq 512$  bits.

To either encrypt or decrypt would need  $\geq 2^{511} \approx 10^{154}$  operations  
(more than number of atoms in the universe).

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In general:  $a^{2^s} \pmod n$ ?



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In general:  $a^{2^s} \pmod n$ ?  $a^{2^s} \pmod n \equiv (a^{2^{s-1}} \pmod n)^2 \pmod n$

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$a^{2^s} \pmod n \equiv (a^s \pmod n)^2 \pmod n$

In general:  $a^{2^{s+1}} \pmod n$ ?

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$a^4 \pmod n \equiv (a^2 \pmod n)^2 \pmod n$  — 2 mod mults

$a^{2s} \pmod n \equiv (a^s \pmod n)^2 \pmod n$

$a^{2s+1} \pmod n \equiv a \cdot ((a^s \pmod n)^2 \pmod n) \pmod n$

# Modular Exponentiation

**Exp**( $a, k, n$ )    { Compute  $a^k \pmod n$  }

**if**  $k < 0$  **then** report error

**if**  $k = 0$  **then** return(1)

**if**  $k = 1$  **then** return( $a \pmod n$ )

**if**  $k$  is odd **then** return( $a \cdot \text{Exp}(a, k - 1, n) \pmod n$ )

**if**  $k$  is even **then**

$c \leftarrow \text{Exp}(a, k/2, n)$

    return( $(c \cdot c) \pmod n$ )

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To compute  $3^6 \pmod{7}$ : **Exp**(3, 6, 7)

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To compute  $3^6 \pmod{7}$ : **Exp**(3, 6, 7)

$c \leftarrow \text{Exp}(3, 3, 7) \leftarrow 3 \cdot (\text{Exp}(3, 2, 7) \pmod{7})$

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**Exp**(3, 2, 7) ( $\pmod 7$ )  $\leftarrow 3 \cdot 3 \pmod 7 \leftarrow 2$

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$c \leftarrow 3 \cdot 2 \pmod{7} \leftarrow 6$

**Exp**(3, 6, 7)  $\leftarrow (6 \cdot 6) \pmod{7} \leftarrow 1$

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How many modular multiplications?

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$\lfloor \log_2(k) \rfloor$

So at most  $2 \lfloor \log_2(k) \rfloor$  modular multiplications.

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To encrypt:  $c = E(m, PK_A) = m^{e_A} \pmod{N_A}$ .

To decrypt:  $r = D(c, SK_A) = c^{d_A} \pmod{N_A}$ .

$r = m$ .

Try using  $N = 35$ ,  $e = 11$  to create keys for RSA.

What is  $d$ ? Try  $d = 11$  and check it.

Encrypt 4. Decrypt the result.

## RSA — a public key system

$N_A = p_A \cdot q_A$ , where  $p_A, q_A$  prime.

$\gcd(e_A, (p_A - 1)(q_A - 1)) = 1$ .

$e_A \cdot d_A \equiv 1 \pmod{(p_A - 1)(q_A - 1)}$ .

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Try using  $N = 35$ ,  $e = 11$  to create keys for RSA.

What is  $d$ ? Try  $d = 11$  and check it.

Encrypt 4. Decrypt the result.

Did you get  $c = 9$ ? And  $r = 4$ ?



# RSA

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# Greatest Common Divisor

We need to find:  $e_A, d_A$ .

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# Greatest Common Divisor

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Choose random  $e_A$ .

Check that  $\gcd(e_A, (p_A - 1)(q_A - 1)) = 1$ .

Find  $d_A$  such that  $e_A \cdot d_A \equiv 1 \pmod{(p_A - 1)(q_A - 1)}$ .

# The Extended Euclidean Algorithm

**Theorem.**  $a, b \in \mathbf{N}$ .  $\exists s, t \in \mathbf{Z}$  s.t.  $sa + tb = \gcd(a, b)$ .

**Proof.** Let  $d$  be the smallest positive integer in

$$D = \{xa + yb \mid x, y \in \mathbf{Z}\}.$$

$$d \in D \Rightarrow d = x'a + y'b \text{ for some } x', y' \in \mathbf{Z}.$$

$\gcd(a, b) \mid a$  and  $\gcd(a, b) \mid b$ , so  $\gcd(a, b) \mid x'a$ ,  $\gcd(a, b) \mid y'b$ , and

$\gcd(a, b) \mid (x'a + y'b) = d$ . We will show that  $d \mid \gcd(a, b)$ , so

$d = \gcd(a, b)$ . Note  $a \in D$ .

Suppose  $a = dq + r$  with  $0 \leq r < d$ .

$$\begin{aligned} r &= a - dq \\ &= a - q(x'a + y'b) \\ &= (1 - qx')a - (qy')b \end{aligned}$$

$$\Rightarrow r \in D$$

$$r < d \Rightarrow r = 0 \Rightarrow d \mid a.$$

Similarly, one can show that  $d \mid b$ .

Therefore,  $d \mid \gcd(a, b)$ .  $\square$

# The Extended Euclidean Algorithm

How do you find  $d$ ,  $s$  and  $t$ ?

Let  $d = \gcd(a, b)$ . Write  $b$  as  $b = aq + r$  with  $0 \leq r < a$ .

Then,  $d|b \Rightarrow d|(aq + r)$ .

Also,  $d|a \Rightarrow d|(aq) \Rightarrow d|((aq + r) - aq) \Rightarrow d|r$ .

Let  $d' = \gcd(a, b - aq)$ .

Then,  $d'|a \Rightarrow d'|(aq)$

Also,  $d'|(b - aq) \Rightarrow d'|((b - aq) + aq) \Rightarrow d'|b$ .

Thus,  $\gcd(a, b) = \gcd(a, b \pmod{a})$

$= \gcd(b \pmod{a}, a)$ . This shows how to reduce to a “simpler” problem and gives us the **Extended Euclidean Algorithm**.

# The Extended Euclidean Algorithm

{ Initialize}

$$d_0 \leftarrow b \quad s_0 \leftarrow 0 \quad t_0 \leftarrow 1$$

$$d_1 \leftarrow a \quad s_1 \leftarrow 1 \quad t_1 \leftarrow 0$$

$$n \leftarrow 1$$

{ Compute next  $d$ }

**while**  $d_n > 0$  **do**

**begin**

$$n \leftarrow n + 1$$

$$\{ \text{Compute } d_n \leftarrow d_{n-2} \pmod{d_{n-1}} \}$$

$$q_n \leftarrow \lfloor d_{n-2}/d_{n-1} \rfloor$$

$$d_n \leftarrow d_{n-2} - q_n d_{n-1}$$

$$s_n \leftarrow s_{n-2} - q_n s_{n-1}$$

$$t_n \leftarrow t_{n-2} - q_n t_{n-1}$$

**end**

$$s \leftarrow s_{n-1} \quad t \leftarrow t_{n-1}$$

$$\text{gcd}(a, b) \leftarrow d_{n-1}$$

# The Extended Euclidean Algorithm

Finding **multiplicative inverses** modulo  $m$ :

Given  $a$  and  $m$ , find  $x$  s.t.  $a \cdot x \equiv 1 \pmod{m}$ .

Should also find a  $k$ , s.t.  $ax = 1 + km$ .

So solve for an  $s$  in an equation  $sa + tm = 1$ .

This can be done if  $\gcd(a, m) = 1$ .

Just use the **Extended Euclidean Algorithm**.

If the result,  $s$ , is negative, add  $m$  to  $s$ .

Now  $(s - m)a + tm = 1$ .

# Examples

Calculate the following:

1.  $\gcd(6, 9)$
2.  $s$  and  $t$  such that  $s \cdot 6 + t \cdot 9 = \gcd(6, 9)$
3.  $\gcd(15, 23)$
4.  $s$  and  $t$  such that  $s \cdot 15 + t \cdot 23 = \gcd(15, 23)$



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# Primality testing

We need to find:  $p_A, q_A$  — large primes.

Choose numbers at random and check if they are prime?

# Questions

1. How many random integers of length 154 are prime?

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About  $\frac{x}{\ln x}$  numbers  $< x$  are prime, so about  $\frac{10^{154}}{355}$

So we expect to test about 355 before finding a prime.

(This holds because the expected number of tries until a “success”, when the probability of “success” is  $p$ , is  $1/p$ .)

# Questions

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2. How fast can we test if a number is prime?

# Questions

1. How many random integers of length 154 are prime?

About  $\frac{x}{\ln x}$  numbers  $< x$  are prime, so about  $\frac{10^{154}}{355}$

So we expect to test about 355 before finding a prime.

2. How fast can we test if a number is prime?

Quite fast, using randomness.

# Method 1

Sieve of Eratosthenes:

Lists:

2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19

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Lists:

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					7				11		13				17		19

$10^{154}$  — more than number of atoms in universe

So we cannot even write out this list!

## Method 2

**CheckPrime**( $n$ )

```
for  $i = 2$  to  $n - 1$  do  
    check if  $i$  divides  $n$   
    if it does then output  $i$   
endfor  
output -1 if divisor not found
```

Check all possible divisors between 2 and  $n$  (or  $\sqrt{n}$ ).  
Our sun will die before we're done!

# Rabin–Miller Primality Testing

In practice, use a randomized primality test.

**Miller–Rabin primality test:**

Starts with Fermat test:

$$2^{14} \pmod{15} \equiv 4 \neq 1.$$

So 15 is not prime.

**Theorem.** Suppose  $p$  is a prime. Then for all  $1 \leq a \leq p - 1$ ,  
 $a^{p-1} \pmod{p} = 1$ .

# Rabin–Miller Primality Test

Fermat test:

**Prime**( $n$ )

**repeat**  $r$  times

    Choose random  $a \in \mathbb{Z}_n^*$

**if**  $a^{n-1} \pmod n \neq 1$  **then** return(Composite)

**end repeat**

return(Probably Prime)

**Carmichael Numbers** Composite  $n$ . For all  $a \in \mathbb{Z}_n^*$ ,  
 $a^{n-1} \pmod n \equiv 1$ .

Example:  $561 = 3 \cdot 11 \cdot 17$

If  $p$  is prime,  $\sqrt{1} \pmod p = \{1, p-1\}$ .

If  $p$  has  $> 1$  distinct factors, 1 has at least 4 square roots.

Example:  $\sqrt{1} \pmod{15} = \{1, 4, 11, 14\}$

# Rabin–Miller Primality Test

Taking square roots of 1 (mod 561):

$$50^{560} \pmod{561} \equiv 1$$

$$50^{280} \pmod{561} \equiv 1$$

$$50^{140} \pmod{561} \equiv 1$$

$$50^{70} \pmod{561} \equiv 1$$

$$50^{35} \pmod{561} \equiv 560$$

$$2^{560} \pmod{561} \equiv 1$$

$$2^{280} \pmod{561} \equiv 1$$

$$2^{140} \pmod{561} \equiv 67$$

2 is a **witness** that 561 is composite.

# Rabin–Miller Primality Test

Miller–Rabin( $n, k$ )

Calculate odd  $m$  such that  $n - 1 = 2^s \cdot m$

**repeat**  $k$  times

    Choose random  $a \in \mathbb{Z}_n^*$

**if**  $a^{n-1} \pmod n \not\equiv 1$  **then** return(Composite)

**if**  $a^{(n-1)/2} \pmod n \equiv n - 1$  **then** continue

**if**  $a^{(n-1)/2} \pmod n \not\equiv 1$  **then** return(Composite)

**if**  $a^{(n-1)/4} \pmod n \equiv n - 1$  **then** continue

**if**  $a^{(n-1)/4} \pmod n \not\equiv 1$  **then** return(Composite)

    ....

**if**  $a^m \pmod n \equiv n - 1$  **then** continue

**if**  $a^m \pmod n \not\equiv 1$  **then** return(Composite)

**end repeat**

return(Probably Prime)

## Conclusions about primality testing

1. Miller–Rabin is a practical primality test
2. There is a less practical deterministic primality test
3. Randomized algorithms are useful in practice
4. Algebra is used in primality testing
5. Number theory is not useless



# Why does RSA work?

**Thm (The Chinese Remainder Theorem)** Let  $m_1, m_2, \dots, m_k$  be pairwise relatively prime. For any integers  $x_1, x_2, \dots, x_k$ , there exists  $x \in \mathbb{Z}$  s.t.  $x \equiv x_i \pmod{m_i}$  for  $1 \leq i \leq k$ , and this integer is uniquely determined modulo the product  $m = m_1 m_2 \dots m_k$ .

# Fermat's Little Theorem

Why does RSA work? CRT +

**Fermat's Little Theorem:**  $p$  is a prime,  $p \nmid a$ .  
Then  $a^{p-1} \equiv 1 \pmod{p}$  and  $a^p \equiv a \pmod{p}$ .

# RSA

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$r = m$ .

## Correctness of RSA

Consider  $x = D(E(m, PK_A), SK_A)$ .

Note  $\exists k$  s.t.  $e_A d_A = 1 + k(p_A - 1)(q_A - 1)$ .

$$x \equiv (m^{e_A} \pmod{N_A})^{d_A} \pmod{N_A} \equiv m^{e_A d_A} \equiv m^{1+k(p_A-1)(q_A-1)} \pmod{N_A}.$$

Consider  $x \pmod{p_A}$ .

$$x \equiv m^{1+k(p_A-1)(q_A-1)} \equiv m \cdot (m^{(p_A-1)})^{k(q_A-1)} \equiv m \cdot 1^{k(q_A-1)} \equiv m \pmod{p_A}.$$

Consider  $x \pmod{q_A}$ .

$$x \equiv m^{1+k(p_A-1)(q_A-1)} \equiv m \cdot (m^{(q_A-1)})^{k(p_A-1)} \equiv m \cdot 1^{k(p_A-1)} \equiv m \pmod{q_A}.$$

Apply the Chinese Remainder Theorem:

$$\gcd(p_A, q_A) = 1, \Rightarrow x \equiv m \pmod{N_A}.$$

$$\text{So } D(E(m, PK_A), SK_A) = m.$$