

DM865 – Spring 2018  
Heuristics and Approximation Algorithms

## Complexity

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1. Complexity Hierarchy

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## Reduction

A search problem  $\Pi'$  is (polynomially) reducible to a search problem  $\Pi$  ( $\Pi' \rightarrow \Pi$ ) if there exists an algorithm  $\mathcal{A}$  that solves  $\Pi'$  by using a hypothetical subroutine  $\mathcal{S}$  for  $\Pi$  and except for  $\mathcal{S}$  everything runs in polynomial time. [Garey and Johnson, 1979]

## NP-hard

A search problem  $\Pi$  is NP-hard if

1. it is in NP
2. there exists some NP-complete problem  $\Pi'$  that reduces to  $\Pi$

In scheduling, complexity hierarchies describe relationships between different problems.

$$\text{Ex: } 1 \parallel \sum C_j \rightarrow 1 \parallel \sum w_j C_j$$

Interest in characterizing the borderline: polynomial vs NP-hard problems

## Partition

- **Input:** finite set  $A$  and a size  $s(a) \in \mathbf{Z}^+$  for each  $a \in A$
- **Question:** is there a subset  $A' \subseteq A$  such that

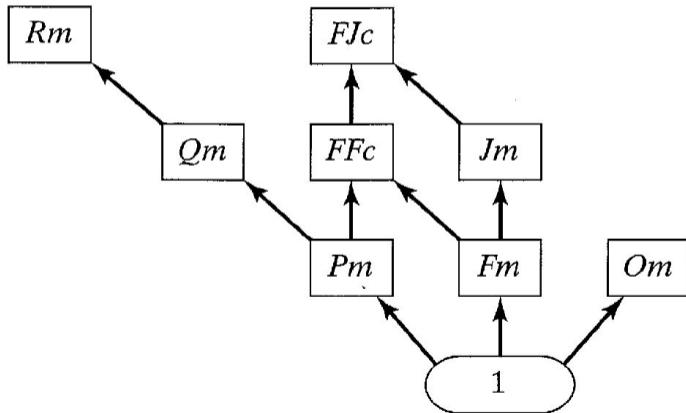
$$\sum_{a \in A'} s(a) = \sum_{a \in A - A'} s(a)?$$

## 3-Partition

- **Input:** set  $A$  of  $3m$  elements, a bound  $B \in \mathbf{Z}^+$ , and a size  $s(a) \in \mathbf{Z}^+$  for each  $a \in A$  such that  $B/4 < s(a) < B/2$  and such that  $\sum_{a \in A} s(a) = mB$
- **Question:** can  $A$  be partitioned into  $m$  disjoint sets  $A_1, \dots, A_m$  such that for  $1 \leq i \leq m$ ,  $\sum_{a \in A_i} s(a) = B$  (note that each  $A_i$  must therefore contain exactly three elements from  $A$ )?

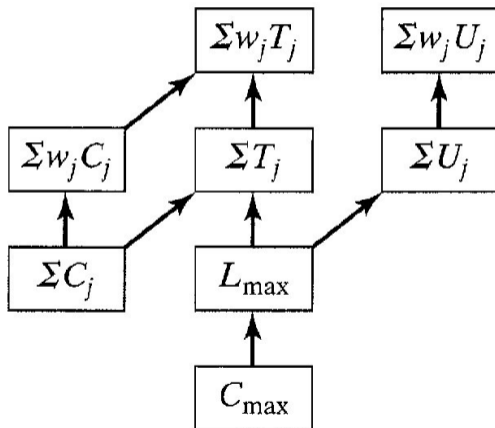
# Complexity Hierarchy

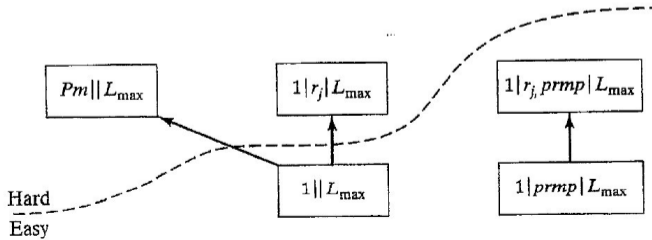
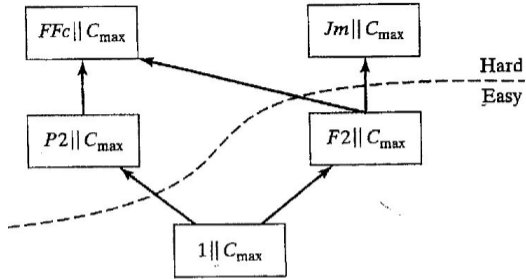
Elementary reductions for machine environment



# Complexity Hierarchy

Elementary reductions for regular objective functions







# Polynomial time solvable problems

SINGLE MACHINE	PARALLEL MACHINES	SHOPS
1   $r_j, p_j = 1, prec$   $\sum C_j$	$P2$   $p_j = 1, prec$   $L_{\max}$	$O2$    $C_{\max}$
1   $r_j, prmp$   $\sum C_j$	$P2$   $p_j = 1, prec$   $\sum C_j$	
1   $tree$   $\sum w_j C_j$	$Pm$   $p_j = 1, tree$   $C_{\max}$	$Om$   $r_j, prmp$   $L_{\max}$
1   $prec$   $L_{\max}$	$Pm$   $prmp, tree$   $C_{\max}$	$F2$   $block$   $C_{\max}$
1   $r_j, prmp, prec$   $L_{\max}$	$Pm$   $p_j = 1, outtree$   $\sum C_j$	$F2$   $nwt$   $C_{\max}$
	$Pm$   $p_j = 1, intree$   $L_{\max}$	
1    $\sum U_j$	$Pm$   $prmp, intree$   $L_{\max}$	$Fm$   $p_{ij} = p_j$   $\sum C_j$
1   $r_j, prmp$   $\sum U_j$		$Fm$   $p_{ij} = p_j$   $L_{\max}$
1   $r_j, p_j = 1$   $\sum w_j U_j$	$Q2$   $prmp, prec$   $C_{\max}$	$Fm$   $p_{ij} = p_j$   $\sum U_j$
	$Q2$   $r_j, prmp, prec$   $L_{\max}$	
1   $r_j, p_j = 1$   $\sum w_j T_j$	$Qm$   $r_j, p_j = 1$   $C_{\max}$	$J2$    $C_{\max}$
	$Qm$   $p_j = 1, M_j$   $C_{\max}$	
	$Qm$   $r_j, p_j = 1$   $\sum C_j$	
	$Qm$   $prmp$   $\sum C_j$	
	$Qm$   $p_j = 1$   $\sum w_j C_j$	
	$Qm$   $p_j = 1$   $L_{\max}$	
	$Qm$   $prmp$   $\sum U_j$	
	$Qm$   $p_j = 1$   $\sum w_j U_j$	
	$Qm$   $p_j = 1$   $\sum w_j T_j$	
	$Rm$    $\sum C_j$	
	$Rm$   $r_j, prmp$   $L_{\max}$	

# NP-hard problems in the ordinary sense

SINGLE MACHINE	PARALLEL MACHINES	SHOPS
$1 \parallel \sum w_j U_j \quad (*)$ $1 \mid r_j, prmp \mid \sum w_j U_j \quad (*)$ $1 \parallel \sum T_j \quad (*)$	$P2 \parallel C_{\max} \quad (*)$ $P2 \mid r_j, prmp \mid \sum C_j$ $P2 \parallel \sum w_j C_j \quad (*)$ $P2 \mid r_j, prmp \mid \sum U_j$  $Pm \mid prmp \mid \sum w_j C_j$  $Qm \parallel \sum w_j C_j \quad (*)$  $Rm \mid r_j \mid C_{\max} \quad (*)$ $Rm \parallel \sum w_j U_j \quad (*)$ $Rm \mid prmp \mid \sum w_j U_j$	$O2 \mid prmp \mid \sum C_j$  $O3 \parallel C_{\max}$ $O3 \mid prmp \mid \sum w_j U_j$

# Strongly NP-hard problems

SINGLE MACHINE	PARALLEL MACHINES	SHOPS
1   $s_{jk}$   $C_{\max}$	$P2$   <i>chains</i>   $C_{\max}$	$F2$   $r_j$   $C_{\max}$
1   $r_j$   $\sum C_j$	$P2$   <i>chains</i>   $\sum C_j$	$F2$   $r_j, prmp$   $C_{\max}$
1   <i>prec</i>   $\sum C_j$	$P2$   <i>prmp, chains</i>   $\sum C_j$	$F2$    $\sum C_j$
1   $r_j, prmp, tree$   $\sum C_j$	$P2$   $p_j = 1, tree$   $\sum w_j C_j$	$F2$   <i>prmp</i>   $\sum C_j$
1   $r_j, prmp$   $\sum w_j C_j$	$R2$   <i>prmp, chains</i>   $C_{\max}$	$F2$    $L_{\max}$
1   $r_j, p_j = 1, tree$   $\sum w_j C_j$		$F2$   <i>prmp</i>   $L_{\max}$
1   $p_j = 1, prec$   $\sum w_j C_j$		$F3$    $C_{\max}$
		$F3$   <i>prmp</i>   $C_{\max}$
1   $r_j$   $L_{\max}$		$F3$   <i>nwt</i>   $C_{\max}$
1   $r_j$   $\sum U_j$		$O2$   $r_j$   $C_{\max}$
1   $p_j = 1, chains$   $\sum U_j$		$O2$    $\sum C_j$
		$O2$   <i>prmp</i>   $\sum w_j C_j$
1   $r_j$   $\sum T_j$		$O2$    $L_{\max}$
1   $p_j = 1, chains$   $\sum T_j$		
1    $\sum w_j T_j$		$O3$   <i>prmp</i>   $\sum C_j$
		$J2$   <i>rerc</i>   $C_{\max}$
		$J3$   $p_{ij} = 1, rerc$   $C_{\max}$

Complexity results for scheduling problems  
by Peter Brucker and Sigrid Knust

<http://www.informatik.uni-osnabrueck.de/knust/class/>