

# Creating (Declaring) a Relation

- Simplest form is:

```
CREATE TABLE <name> (  
    <list of elements>  
);
```

- To delete a relation:

```
DROP TABLE <name>;
```

# Elements of Table Declarations

- Most basic element:  
an attribute and its type
- The most common types are:
  - INT or INTEGER (synonyms)
  - REAL or FLOAT (synonyms)
  - CHAR( $n$ ) = fixed-length string of  $n$  characters
  - VARCHAR( $n$ ) = variable-length string of up to  $n$  characters

# Example: Create Table

```
CREATE TABLE Sells (  
    bar      CHAR(20) ,  
    beer     VARCHAR(20) ,  
    price    REAL  
) ;
```

# SQL Values

- Integers and reals are represented as you would expect
- Strings are too, except they require single quotes
  - Two single quotes = real quote, e.g., 'Trader Joe''s Hofbrau Bock'
- Any value can be NULL
  - (like Objects in Java)

# Dates and Times

- DATE and TIME are types in SQL
- The form of a date value is:  
DATE 'yyyy-mm-dd'
  - Example: DATE '2009-02-04' for February 4, 2009

# Times as Values

- The form of a time value is:  
TIME ' hh:mm:ss'  
with an optional decimal point and  
fractions of a second following
- **Example:** TIME ' 15:30:02.5' = two  
and a half seconds after 15:30

# Declaring Keys

- An attribute or list of attributes may be declared PRIMARY KEY or UNIQUE
- Either says that no two tuples of the relation may agree in all the attribute(s) on the list
- There are a few distinctions to be mentioned later

# Declaring Single-Attribute Keys

- Place PRIMARY KEY or UNIQUE after the type in the declaration of the attribute
- Example:

```
CREATE TABLE Beers (
    name      CHAR(20) UNIQUE,
    manf      CHAR(20)
) ;
```

# Declaring Multiattribute Keys

- A key declaration can also be another element in the list of elements of a CREATE TABLE statement
- This form is essential if the key consists of more than one attribute
  - May be used even for one-attribute keys

# Example: Multiattribute Key

- The bar and beer together are the key for Sells:

```
CREATE TABLE Sells (
    bar        CHAR(20),
    beer      VARCHAR(20),
    price     REAL,
    PRIMARY KEY (bar, beer)
) ;
```

# PRIMARY KEY vs. UNIQUE

1. There can be only one PRIMARY KEY for a relation, but several UNIQUE attributes
2. No attribute of a PRIMARY KEY can ever be NULL in any tuple. But attributes declared UNIQUE may have NULL's, and there may be several tuples with NULL

# Changing a Relation Schema

- To delete an attribute:

ALTER TABLE <name> DROP  
<attribute>;

- To add an attribute:

ALTER TABLE <name> ADD <element>;

- Examples:

ALTER TABLE Beers ADD prize CHAR(10);

ALTER TABLE Drinkers DROP phone;

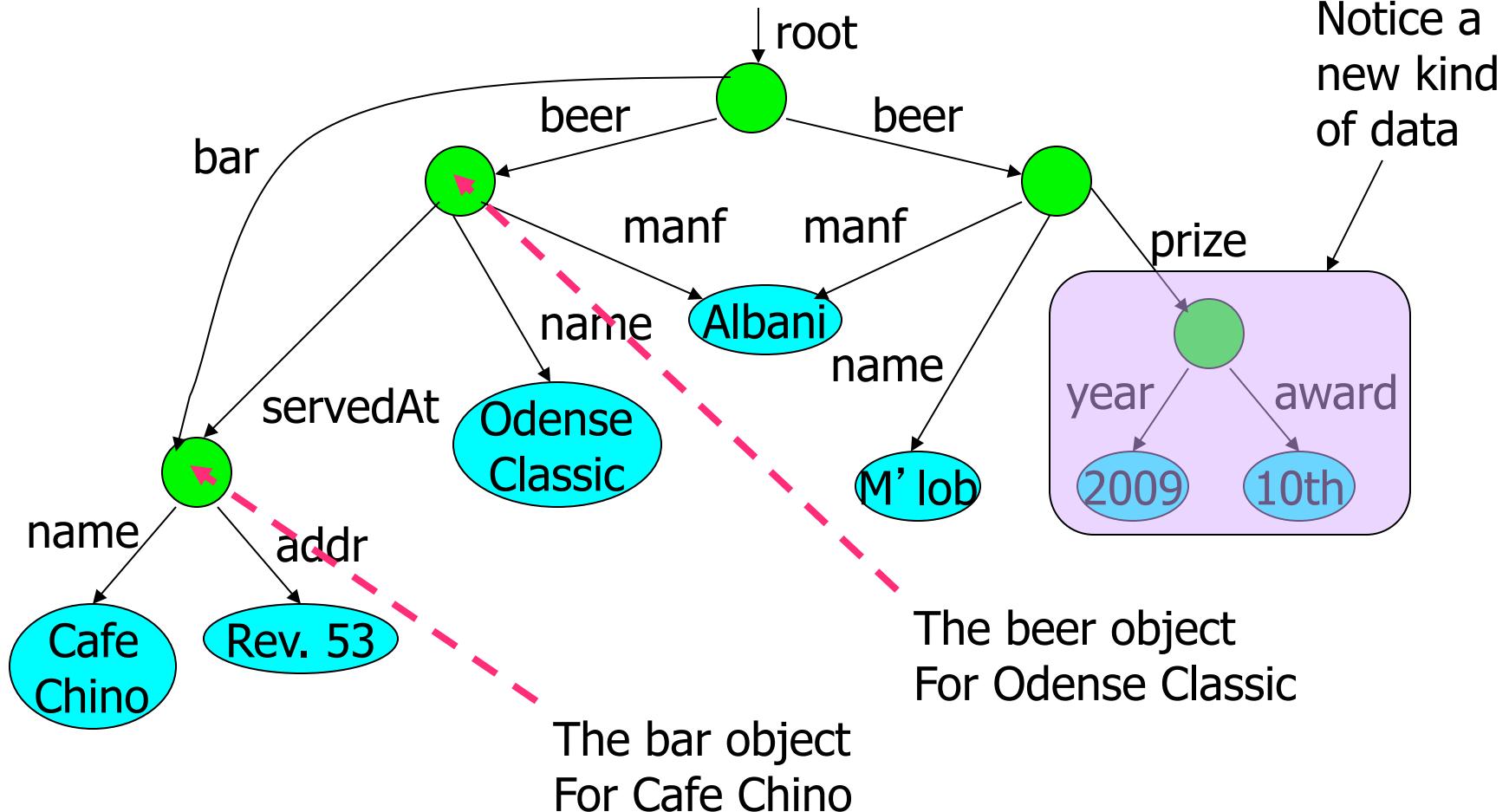
# Semistructured Data

- Another data model, based on trees
- Motivation: flexible representation of data
- Motivation: sharing of *documents* among systems and databases

# Graphs of Semistructured Data

- Nodes = objects
- Labels on arcs (like attribute names)
- Atomic values at leaf nodes (nodes with no arcs out)
- Flexibility: no restriction on:
  - Labels out of a node
  - Number of successors with a given label

# Example: Data Graph



# XML

- XML = *Extensible Markup Language*
- While HTML uses tags for formatting (e.g., “italic”), XML uses tags for semantics (e.g., “this is an address”)
- Key idea: create tag sets for a domain (e.g., genomics), and translate all data into properly tagged XML documents

# XML Documents

- Start the document with a *declaration*, surrounded by <?xml ... ?>
- Typical:

```
<?xml version = “1.0” encoding  
= “utf-8” ?>
```

- Document consists of one *root tag* surrounding nested tags

# Tags

- Tags, as in HTML, are normally matched pairs, as <FOO> ... </FOO>
  - Optional single tag <FOO/>
- Tags may be nested arbitrarily
- XML tags are case sensitive

# Example: an XML Document

```
<?xml version = “1.0” encoding = “utf-8” ?>
<BARS>
  <BAR><NAME>Cafe Chino</NAME>
    <BEER><NAME>Odense Classic</NAME>
      <PRICE>20</PRICE></BEER>
    <BEER><NAME>Erdinger Weißbier</NAME>
      <PRICE>35</PRICE></BEER>
  </BAR>
<BAR> ...
</BARS>
```

A NAME subobject

A BEER subobject

# Attributes

- Like HTML, the opening tag in XML can have **attribute = value** pairs
- Attributes also allow linking among elements (discussed later)

# Bars, Using Attributes

```
<?xml version = “1.0” encoding = “utf-8” ?>
<BARS>
    <BAR name = “Cafe Chino”>
        <BEER name = “Odense Classic” price = 20 />
        <BEER name = “Erdinger Weißbier” price =
            35 />
    </BAR>
    <BAR> ...
</BARS>
```

The diagram illustrates the XML structure with several annotations:

- A large teal triangle highlights the first BAR element, with an arrow pointing to the text "name and price are attributes".
- A teal rectangle highlights the first BEER element, with an arrow pointing to the text "Notice Beer elements have only opening tags with attributes."
- An orange square highlights the closing tag of the second BEER element.

Annotations:

- name and price are attributes
- Notice Beer elements have only opening tags with attributes.

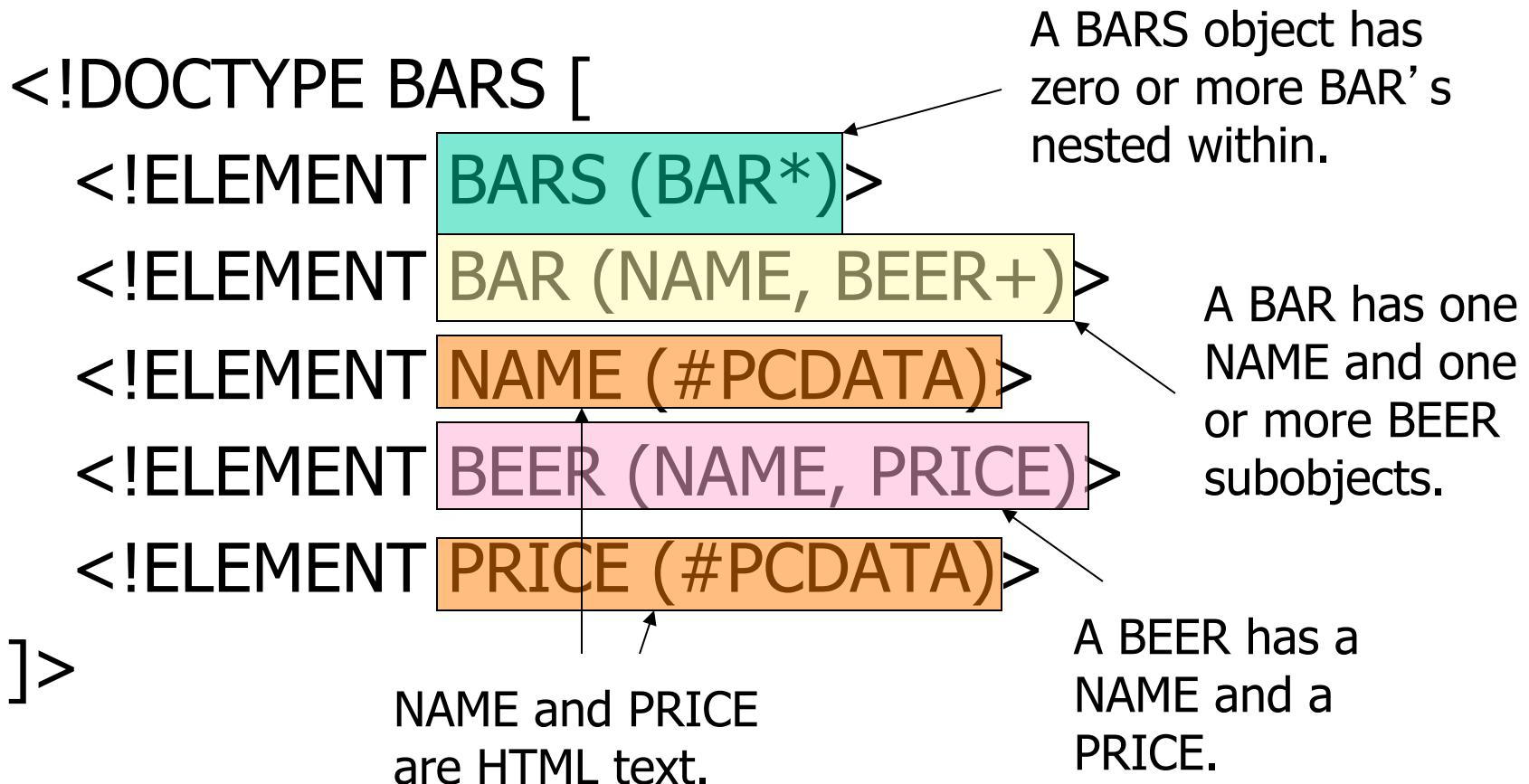
# DTD's (Document Type Definitions)

- A grammatical notation for describing allowed use of tags.

- Definition form:

```
<!DOCTYPE <root tag> [  
  <!ELEMENT <name> (<components>) >  
  . . . more elements . . .  
] >
```

# Example: DTD



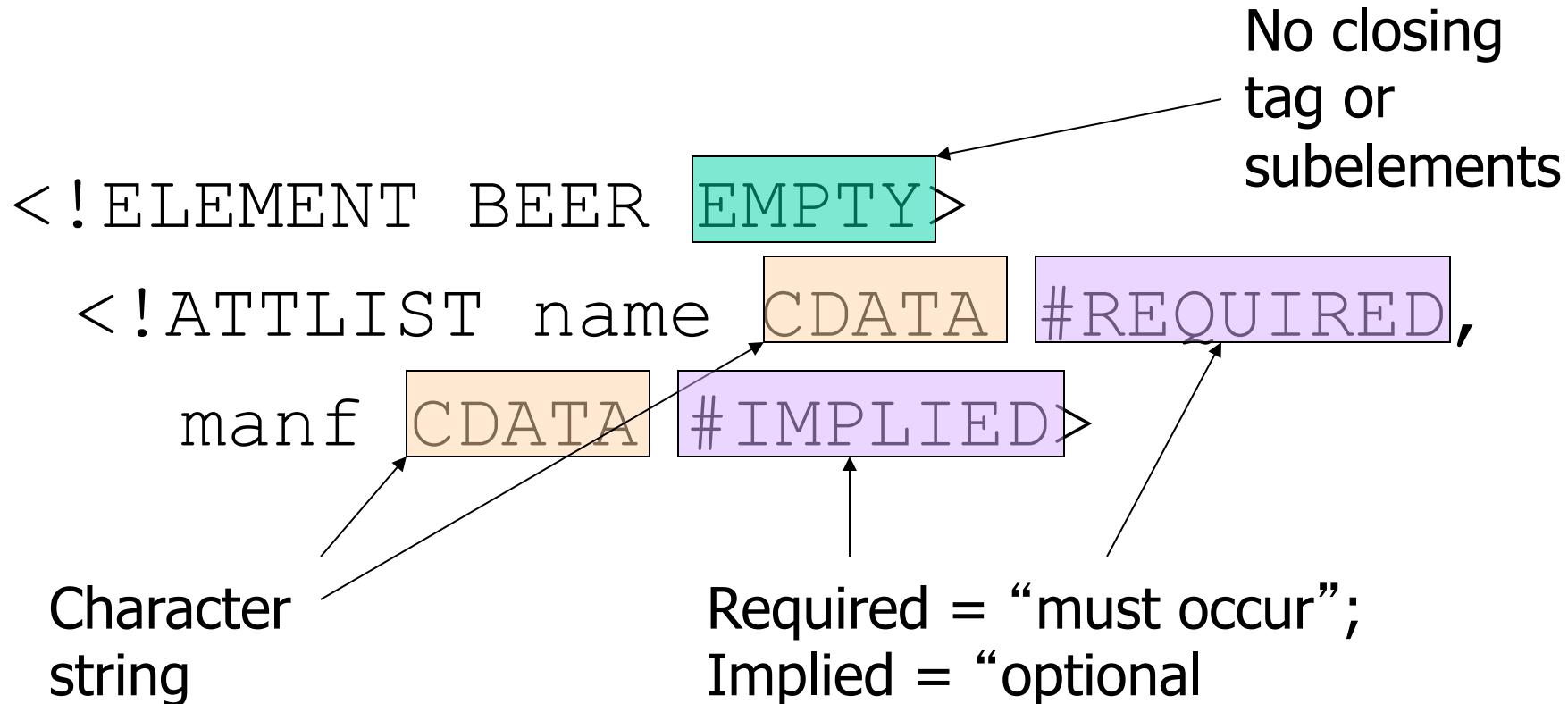
# Attributes

- Opening tags in XML can have *attributes*
- In a DTD,

```
<!ATTLIST E . . . >
```

declares an attribute for element *E*,  
along with its datatype

# Example: Attributes



Example use:

```
<BEER name="Odense Classic" />
```

# Summary 1

Things you should know now:

- Basic ideas about databases and DBMSs
- What is a data model?
- Idea and Details of the relational model
- SQL as a data definition language

Things given as background:

- History of database systems
- Semistructured data model

# Relational Algebra

# What is an “Algebra”

- Mathematical system consisting of:
  - *Operands* – variables or values from which new values can be constructed
  - *Operators* – symbols denoting procedures that construct new values from given values
- Example:
  - Integers ..., -1, 0, 1, ... as operands
  - Arithmetic operations +/- as operators

# What is Relational Algebra?

- An algebra whose operands are relations or variables that represent relations
- Operators are designed to do the most common things that we need to do with relations in a database
  - The result is an algebra that can be used as a *query language* for relations

# Core Relational Algebra

- Union, intersection, and difference
  - Usual set operations, but *both operands must have the same relation schema*
- Selection: picking certain rows
- Projection: picking certain columns
- Products and joins: compositions of relations
- Renaming of relations and attributes

# Selection

- $R_1 := \sigma_C(R_2)$ 
  - $C$  is a condition (as in “if” statements) that refers to attributes of  $R_2$
  - $R_1$  is all those tuples of  $R_2$  that satisfy  $C$

# Example: Selection

Relation Sells:

bar	beer	price
Cafe Chino	Od. Cla.	20
Cafe Chino	Erd. Wei.	35
Cafe Bio	Od. Cla.	20
Bryggeriet	Pilsener	31

ChinoMenu :=  $\sigma_{\text{bar}=\text{"Cafe Chino"}}(\text{Sells})$ :

bar	beer	price
Cafe Chino	Od. Cla.	20
Cafe Chino	Erd. Wei.	35

# Projection

- $R_1 := \Pi_L(R_2)$ 
  - $L$  is a list of attributes from the schema of  $R_2$
  - $R_1$  is constructed by looking at each tuple of  $R_2$ , extracting the attributes on list  $L$ , in the order specified, and creating from those components a tuple for  $R_1$
  - Eliminate duplicate tuples, if any

# Example: Projection

Relation Sells:

bar	beer	price
Cafe Chino	Od. Cla.	20
Cafe Chino	Erd. Wei.	35
Cafe Bio	Od. Cla.	20
Bryggeriet	Pilsener	31

Prices :=  $\Pi_{\text{beer}, \text{price}}(\text{Sells})$ :

beer	price
Od. Cla.	20
Erd. Wei.	35
Pilsener	31

# Extended Projection

- Using the same  $\Pi_L$  operator, we allow the list  $L$  to contain arbitrary expressions involving attributes:
  1. Arithmetic on attributes, e.g.,  $A+B->C$
  2. Duplicate occurrences of the same attribute

# Example: Extended Projection

$$R = \begin{pmatrix} A & B \\ \hline 1 & 2 \\ 3 & 4 \end{pmatrix}$$

$$\pi_{A+B \rightarrow C, A, A}(R) =$$

C	A <sub>1</sub>	A <sub>2</sub>
3	1	1
7	3	3

# Product

- $R_3 := R_1 \times R_2$ 
  - Pair each tuple  $t_1$  of  $R_1$  with each tuple  $t_2$  of  $R_2$
  - Concatenation  $t_1t_2$  is a tuple of  $R_3$
  - Schema of  $R_3$  is the attributes of  $R_1$  and then  $R_2$ , in order
  - But beware attribute  $A$  of the same name in  $R_1$  and  $R_2$ : use  $R_1.A$  and  $R_2.A$

# Example: $R_3 := R_1 \times R_2$

$R_1( A, B )$

A,	B
1	2
3	4

$R_2( B, C )$

B,	C
5	6
7	8
9	10

$R_3( A, R_1.B, R_2.B, C )$

A,	R <sub>1</sub> .B,	R <sub>2</sub> .B,	C
1	2	5	6
1	2	7	8
1	2	9	10
3	4	5	6
3	4	7	8
3	4	9	10

# Theta-Join

- $R_3 := R_1 \bowtie_C R_2$ 
  - Take the product  $R_1 \times R_2$
  - Then apply  $\sigma_C$  to the result
- As for  $\sigma$ ,  $C$  can be any boolean-valued condition
  - Historic versions of this operator allowed only  $A \theta B$ , where  $\theta$  is  $=$ ,  $<$ , etc.; hence the name “theta-join”

# Example: Theta Join

Sells( **bar**, **beer**, **price** )

C.Ch.	Od.C.	20
C.Ch.	Er.W.	35
C.Bi.	Od.C.	20
Bryg.	Pils.	31

Bars( **name**, **addr** )

C.Ch.	Reventlo.
C.Bi.	Brandts
Bryg.	Flakhaven

BarInfo := Sells  $\bowtie_{Sells.bar = Bars.name}$  Bars

BarInfo( **bar**, **beer**, **price**, **name**, **addr** )

C.Ch.	Od.C.	20	C.Ch.	Reventlo.
C.Ch.	Er.W.	35	C.Ch.	Reventlo.
C.Bi.	Od.C.	20	C.Bi.	Brandts
Bryg.	Pils.	31	Bryg.	Flakhaven

# Natural Join

- A useful join variant (*natural* join) connects two relations by:
  - Equating attributes of the same name, and
  - Projecting out one copy of each pair of equated attributes
- Denoted  $R_3 := R_1 \bowtie R_2$

# Example: Natural Join

Sells(

bar,	beer,	price
C.Ch.	Od.Cl.	20
C.Ch.	Er.We.	35
C.Bi.	Od.Cl.	20
Bryg.	Pils.	31

)

Bars(

bar,	addr
C.Ch.	Reventlo.
C.Bi.	Brandts
Bryg.	Flakhaven

)

BarInfo := Sells  $\bowtie$  Bars

Note: Bars.name has become Bars.bar  
to make the natural join “work”

BarInfo(

bar,	beer,	price,	addr
C.Ch.	Od.Cl.	20	Reventlo.
C.Ch.	Er.We.	35	Reventlo.
C.Bi.	Od.Cl.	20	Brandts
Bryg.	Pils.	31	Flakhaven

)

# Renaming

- The  $\rho$  operator gives a new schema to a relation
- $R_1 := \rho_{R_1(A_1, \dots, A_n)}(R_2)$  makes  $R_1$  be a relation with attributes  $A_1, \dots, A_n$  and the same tuples as  $R_2$
- Simplified notation:  $R_1(A_1, \dots, A_n) := R_2$

# Example: Renaming

Bars( name, addr )

C.Ch.	Reventlo.
C.Bi.	Brandts
Bryg.	Flakhaven

R(bar, addr) := Bars

R( bar, addr )

C.Ch.	Reventlo.
C.Bi.	Brandts
Bryg.	Flakhaven

# Building Complex Expressions

- Combine operators with parentheses and precedence rules
- Three notations, just as in arithmetic:
  1. Sequences of assignment statements
  2. Expressions with several operators
  3. Expression trees

# Sequences of Assignments

- Create temporary relation names
- Renaming can be implied by giving relations a list of attributes
- **Example:**  $R_3 := R_1 \bowtie_C R_2$  can be written:

$$R_4 := R_1 \times R_2$$
$$R_3 := \sigma_C(R_4)$$

# Expressions in a Single Assignment

- **Example:** the theta-join  $R_3 := R_1 \bowtie_C R_2$  can be written:  $R_3 := \sigma_C(R_1 \times R_2)$
- Precedence of relational operators:
  1.  $[\sigma, \pi, \rho]$  (highest)
  2.  $[x, \bowtie]$
  3.  $\cap$
  4.  $[\cup, -]$

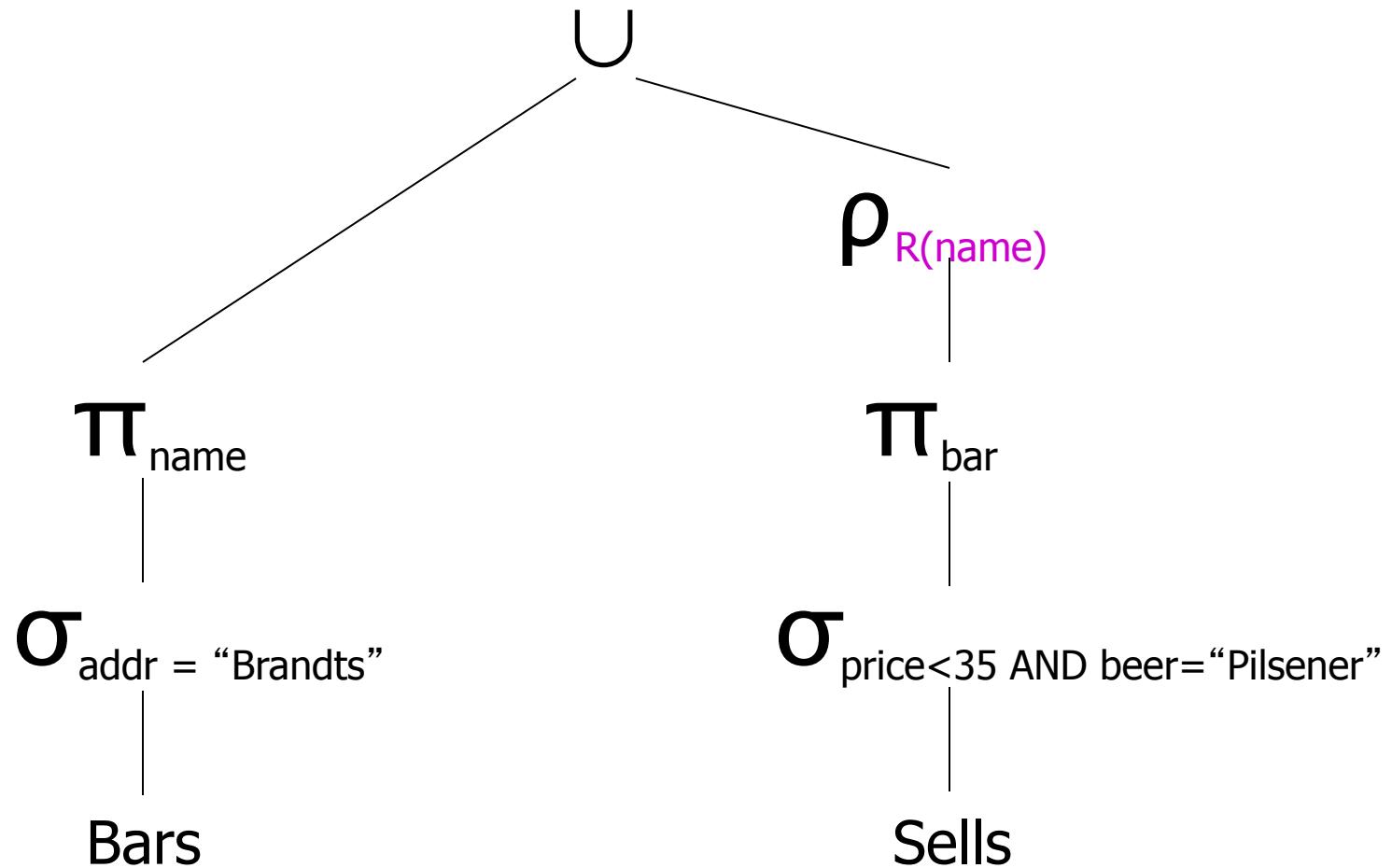
# Expression Trees

- Leaves are operands – either variables standing for relations or particular, constant relations
- Interior nodes are operators, applied to their child or children

# Example: Tree for a Query

- Using the relations `Bars(name, addr)` and `Sells(bar, beer, price)`, find the names of all the bars that are either at Brandts or sell Pilsener for less than 35:

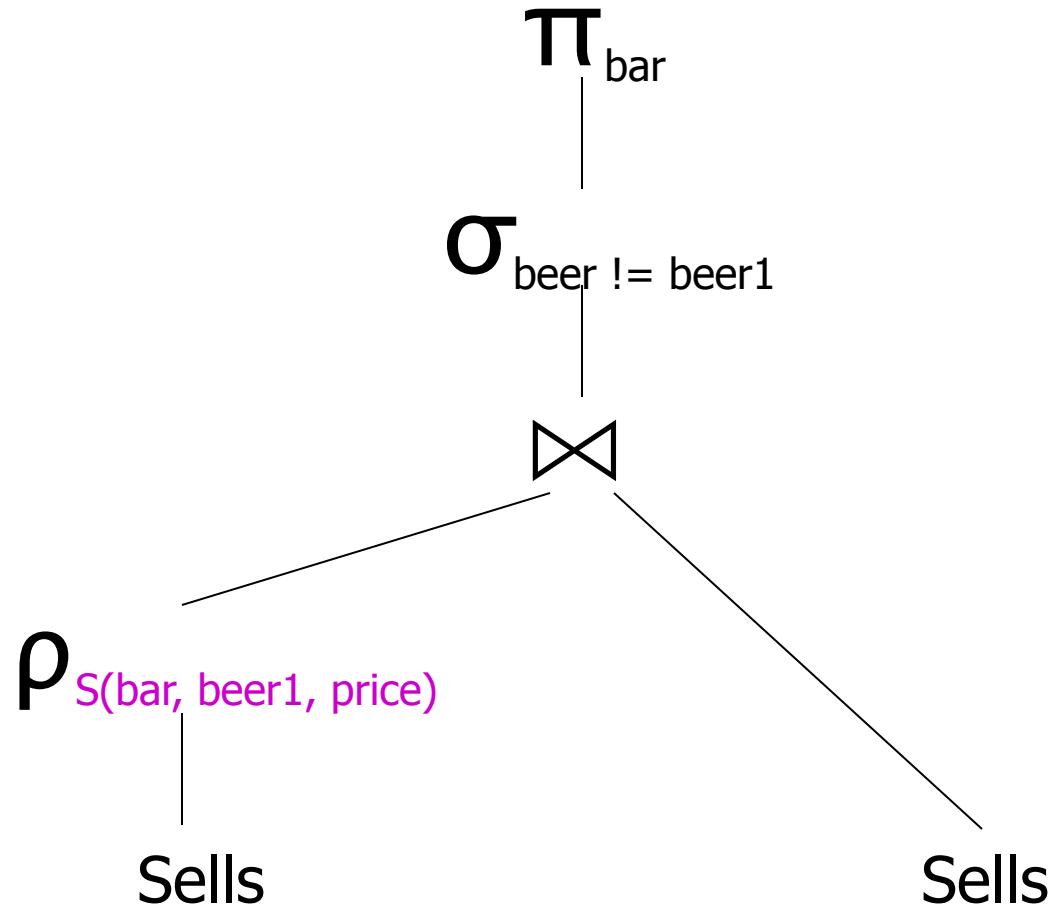
# As a Tree:



# Example: Self-Join

- Using  $\text{Sells}(\text{bar}, \text{beer}, \text{price})$ , find the bars that sell two different beers at the same price
- **Strategy:** by renaming, define a copy of  $\text{Sells}$ , called  $\text{S}(\text{bar}, \text{beer1}, \text{price})$ . The natural join of  $\text{Sells}$  and  $\text{S}$  consists of quadruples  $(\text{bar}, \text{beer}, \text{beer1}, \text{price})$  such that the bar sells both beers at this price

# The Tree



# Schemas for Results

- **Union, intersection, and difference:** the schemas of the two operands must be the same, so use that schema for the result
- **Selection:** schema of the result is the same as the schema of the operand
- **Projection:** list of attributes tells us the schema

# Schemas for Results

- **Product:** schema is the attributes of both relations
  - Use  $R_1.A$  and  $R_2.A$ , etc., to distinguish two attributes named  $A$
- **Theta-join:** same as product
- **Natural join:** union of the attributes of the two relations
- **Renaming:** the operator tells the schema

# Relational Algebra on Bags

- A *bag* (or *multiset*) is like a set, but an element may appear more than once
- Example:  $\{1,2,1,3\}$  is a bag
- Example:  $\{1,2,3\}$  is also a bag that happens to be a set

# Why Bags?

- SQL, the most important query language for relational databases, is actually a bag language
- Some operations, like projection, are more efficient on bags than sets

# Operations on Bags

- Selection applies to each tuple, so its effect on bags is like its effect on sets.
- Projection also applies to each tuple, but as a bag operator, we do not eliminate duplicates.
- Products and joins are done on each pair of tuples, so duplicates in bags have no effect on how we operate.

# Example: Bag Selection

$R($

A,	B
1	2
5	6
1	2

)

$$\sigma_{A+B < 5} (R) =$$

A	B
1	2
1	2

# Example: Bag Projection

$R($

A,	B
1	2
5	6
1	2

)

$\pi_A(R) =$

A
1
5
1

# Example: Bag Product

$$R( \begin{array}{|c|c|} \hline A, & B \\ \hline 1 & 2 \\ 5 & 6 \\ 1 & 2 \\ \hline \end{array} )$$
$$S( \begin{array}{|c|c|} \hline B, & C \\ \hline 3 & 4 \\ 7 & 8 \\ \hline \end{array} )$$

$R \times S =$

A	R.B	S.B	C
1	2	3	4
1	2	7	8
5	6	3	4
5	6	7	8
1	2	3	4
1	2	7	8

# Example: Bag Theta-Join

$$R( \quad \begin{array}{|c|c|} \hline A, & B \\ \hline \end{array} \quad )$$

A,	B
1	2
5	6
1	2

$$S( \quad \begin{array}{|c|c|} \hline B, & C \\ \hline \end{array} \quad )$$

B,	C
3	4
7	8

$$R \bowtie_{R.B < S.B} S =$$

A	R.B	S.B	C
1	2	3	4
1	2	7	8
5	6	7	8
1	2	3	4
1	2	7	8

# Bag Union

- An element appears in the union of two bags the sum of the number of times it appears in each bag
- Example:  $\{1,2,1\} \cup \{1,1,2,3,1\} = \{1,1,1,1,1,2,2,3\}$

# Bag Intersection

- An element appears in the intersection of two bags the minimum of the number of times it appears in either.
- Example:  
 $\{1,2,1,1\} \cap \{1,2,1,3\} = \{1,1,2\}.$

# Bag Difference

- An element appears in the difference  $A - B$  of bags as many times as it appears in  $A$ , minus the number of times it appears in  $B$ .
  - But never less than 0 times.
- Example:  $\{1,2,1,1\} - \{1,2,3\} = \{1,1\}$ .

# Beware: Bag Laws $\neq$ Set Laws

- Some, but *not all* algebraic laws that hold for sets also hold for bags
- **Example:** the commutative law for union ( $R \cup S = S \cup R$ ) *does* hold for bags
  - Since addition is commutative, adding the number of times  $x$  appears in  $R$  and  $S$  does not depend on the order of  $R$  and  $S$

# Example: A Law That Fails

- Set union is *idempotent*, meaning that  $S \cup S = S$
- However, for bags, if  $x$  appears  $n$  times in  $S$ , then it appears  $2n$  times in  $S \cup S$
- Thus  $S \cup S \neq S$  in general
  - e.g.,  $\{1\} \cup \{1\} = \{1,1\} \neq \{1\}$

# Summary 2

More things you should know:

- Relational Algebra
- Selection, (Extended) Projection, Product, Join, Natural Join, Renaming
- Complex Operations as Sequences, Expressions, or Trees
- Difference between Sets and Bags

# Basic SQL Queries

# Why SQL?

- SQL is a very-high-level language
  - Say “what to do” rather than “how to do it”
  - Avoid a lot of data-manipulation details needed in procedural languages like C++ or Java
- Database management system figures out “best” way to execute query
  - Called “query optimization”

# Select-From-Where Statements

**SELECT** desired attributes

**FROM** one or more tables

**WHERE** condition about tuples of  
the tables

# Our Running Example

- All our SQL queries will be based on the following database schema.
  - Underline indicates key attributes.

Beers(name, manf)

Bars(name, addr, license)

Drinkers(name, addr, phone)

Likes(drinker, beer)

Sells(bar, beer, price)

Frequents(drinker, bar)

# Example

- Using Beers(name, manf), what beers are made by Albani Bryggerierne?

```
SELECT name  
FROM Beers  
WHERE manf = 'Albani';
```

# Result of Query

name
Od. Cl.
Eventyr
Blålys
...

The answer is a relation with a single attribute, name, and tuples with the name of each beer by Albani Bryggerierne, such as Odense Classic.

# Meaning of Single-Relation Query

- Begin with the relation in the FROM clause
- Apply the selection indicated by the WHERE clause
- Apply the extended projection indicated by the SELECT clause

# Operational Semantics

name	manf
Blålys	Albani

Tuple-variable  $t$   
loops over all  
tuples

Include  $t.name$   
in the result, if so

Check if  
Albani

# Operational Semantics – General

- Think of a *tuple variable* visiting each tuple of the relation mentioned in FROM
- Check if the “current” tuple satisfies the WHERE clause
- If so, compute the attributes or expressions of the SELECT clause using the components of this tuple

## \* In SELECT clauses

- When there is one relation in the FROM clause, \* in the SELECT clause stands for “all attributes of this relation”
- Example: Using Beers(name, manf):

```
SELECT *
  FROM Beers
 WHERE manf = 'Albani';
```

# Result of Query:

name	manf
Od.Cl.	Albani
Eventyr	Albani
Blålys	Albani
...	...

Now, the result has each of the attributes of Beers